

[illegible]

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/446521

INTERNATIONAL APPLICATION NO.

PCT/JP99/02273

INTERNATIONAL FILING DATE

28 April 1999

PRIORITY DATE CLAIMED

28 April 1998

TITLE OF INVENTION

Block Copolymer

APPLICANT(S) FOR DO/EO/US

Yoshiki Nakagawa, Masayuki Fujita, Kenichi Kitano, Tomoki Hiroy and
Katsuhiko Kimura

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter
16. ☒ Other items or information:

ISR

U.S. APPLICATION NO. (If known, see 37 CFR 1.55)
09/446521INTERNATIONAL APPLICATION NO.
PCT/JP99/02273ATTORNEY'S DOCKET NUMBER
1581/00190☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO.....\$840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)\$670.00

0.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$840Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$

Claims	Number Filed	Number Extra	Rate		
Total Claims	32 - 20 =	12	X \$18.00	\$216	
Independent Claims	1 - 3 =	0	X \$78.00	\$	
Multiple dependent claim(s)(if applicable)			+ \$260.00	\$	

TOTAL OF ABOVE CALCULATIONS = \$1056

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28) \$

SUBTOTAL = \$1056Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$**TOTAL NATIONAL FEE = \$1056**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$

TOTAL FEES ENCLOSED = \$1056Amount to be:
refunded \$

charged \$

a. ☒ A check in the amount of \$1056 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 22-0185 in the amount of \$_____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this sheet is enclosed.**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status****SEND ALL CORRESPONDENCE TO:****Pollock, Vande Sande & Amernick, R.L.L.P.**

1990 M Street, N.W., Suite 800

Washington, DC 20036-3425

SIGNATURE

Burton A. Amernick

NAME

24.852

REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Nakagawa et al

SERIAL NO: To be assigned

GROUP NO: To be assigned

FILING DATE: Concurrently herewith

EXAMINER: To be assigned

FOR: Block Copolymer

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Prior to initial examination, please amend the above-captioned case as follows.

In the specification:

Please amend the specification as follows.

Page 6, line 27, change "ester" (second occurrence) to ---ether---.

Page 32, line 24, delete "2-chloropentane,".

Page 49, line 5, delete "R¹,".

Page 49, line 7, delete "R¹,".

Page 59, line 28, delete entirely.

Page 59, line 29, delete entirely.

Page 59, line 30, delete entirely.

Page 59, line 31, delete entirely.

Page 59, line 32, delete entirely.

Page 59, line 33, delete entirely.

Page 59, line 34, delete entirely.

Page 59, line 35, delete “a polymer [2].”.

In the Claims:

Please amend the claims as follows.

Claim 4, line 1, delete “any of Claims 1 to 3” and insert ---Claim 1---.

Claim 5, line 1, delete “any of Claims 1 to 4” and insert ---Claim 1---.

Claim 6, line 1, delete “any of Claims 1 to 5” and insert ---Claim 1---.

Claim 11, line 1, delete “or 10”.

Claim 12, line 1, delete “any of Claims 7 to 11” and insert ---Claim 7---.

Claim 14, line 1, delete “any of Claims 6 to 13” and insert ---Claim 6---.

Claim 15, line 1, delete “any of Claims 1 to 5” and insert ---Claim 1---.

Claim 18, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 22, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 24, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 25, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 26, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 27, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 28, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 29, line 1, delete “any of Claims 1 to 17” and insert ---Claim 1---.

Claim 30, line 1, delete “any of Claims 1 to 29” and insert ---Claim 1---.

Claim 31, line 2, delete “any of Claims”.

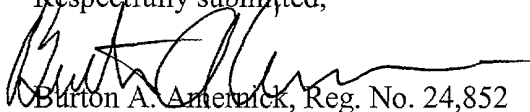
Claim 31, line 3, delete “1 to 30” and insert ---Claim 1---.

Claim 32, line 3, delete “any of Claims 1 to 30” and insert ---Claim 1---.

Remarks

The specification has been amended to correct clerical errors. The claims have been amended to eliminate multiple dependency and to improve their format. None of these amendments is believed to involve any new matter. Accordingly, it is respectfully requested that the foregoing amendments be entered, that the application as so amended receive an examination on the merits, and that the claims as now presented receive an early allowance.

Respectfully submitted,



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Pollock, Vande Sande & Amernick
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Telephone (202) 331-7111

Date:

SPECIFICATION

BLOCK COPOLYMER

5 FIELD OF THE INVENTION

The present invention relates to a polymer for block copolymer produced by adding an alkenyl-containing polymer to a living radical polymerization system or living cationic polymerization system.

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BACKGROUND ART

Block copolymers composed of different polymer block species bound to each other are generally produced by polymerizing different monomer species in succession.

15 Heretofore, various methods of polymerization have been developed and attempts have been made to produce block copolymers using them. When cationic polymerization is employed, however, it is difficult to control the polymerization, since the growing species carbenium ion is

20 unstable. In recent years, examples of the so-called living cation polymerization in which the growing carbenium ion in the cationic polymerization is inhibited from undergoing isomerization, chain transfer reaction or termination reaction have been reported. For example, Higashimura et al.

25 (Macromolecules, 17, 265, 1984) report that cationic living polymerization is possible in vinyl ether polymerization using a combination of hydrogen iodide and iodine as an initiator. However, the polymerization using such initiator has various problems; for instance, its application is restricted to those

30 monomers which have an alkoxy group high in electron donating ability and are highly susceptible to cationic polymerization, and the initiator is unstable and difficult to handle.

On the other hand, Kennedy et al. (Japanese Kokai Publication Sho-62-48704, Japanese Kokai Publication Sho-
35 64-62308), by polymerizing olefin monomers, such as isobutylene,

using an organic carboxylic acid or an ester, or an ether as an initiator in combination with a Lewis acid, showed that cationic living polymerization is possible with olefin monomers as well. This method has been modified in several ways, and
5 Nippon Zeon (Japanese Kokoku Publication Hei-07-59601) has succeeded in obtaining block copolymers by successive monomer addition with additional use of an amine. In this modification, isobutylene-based block copolymers comprising an isobutylene polymer and a styrene polymer are produced in an mixed solvent
10 composed of methylene chloride and hexane. However, such halogenated hydrocarbons containing 1 or 2 carbon atoms have problems; for instance, they are difficult to handle, and require large scale equipment for preventing them from being discharged into the environment to raise the cost of production. Although, on the other hand, such polymerization is also
15 possible in a halogen-free solvent such as toluene, very fine adjustment is required, depending on the monomer, for the monomer to show adequate polarity. It is thus very difficult to establish the conditions for successive polymerization of
20 two or more monomer species differing in reactivity.

Furthermore, in recent years, controlled radical polymerization techniques and, further, living radical polymerization techniques have been developed, making it possible to well control the living polymerization.
25 Matyjaszewski et al. report a method of synthesizing block copolymers by successively adding monomers using the atom transfer radical polymerization technique to be mentioned later herein or by using a macro-initiator (e.g. *Macromolecules*, 28, 7901, 1995). These techniques, however, may sometimes
30 encounter problems; successive polymerization of monomers is difficult since respective monomers require different optimum polymerization conditions, or it is difficult to introduce, terminally into the macro-initiator, an initiator terminus optimal to the next monomer to be polymerized.

35 A further method available for the production of block

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SUMMARY OF THE INVENTION

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(wherein R¹ is a hydrogen atom or a hydrocarbon group containing 1 to 20 carbon atoms).

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general formulas 2 and 3 be a hydrogen atom.

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substituent, R^2 is a hydrogen atom or a hydrocarbon group containing 1 to 20 carbon atoms and X is chlorine, bromine or iodine).

5 The method of producing the polymer (I) is not particularly restricted but said polymer is preferably produced by controlled radical polymerization, in particular by atom transfer radical polymerization.

10 When the polymer (I) is produced by atom transfer radical polymerization, an alkenyl-containing initiator, in particular an allyl halide, is preferably used as the initiator.

15 The polymer (I) is also preferably produced by living cationic polymerization and the polymer produced thereby is preferably selected from the group consisting of styrenic polymers, isobutylene polymers, polyether polymers and vinyl ether polymers.

It is preferred, though not requisite, that the polymer (I) is a vinyl polymer, polyolefin polymer, hydrocarbon polymer, polyester polymer, polyether polymer or polysiloxane polymer.

20 Further, with reference to the polymer to be produced in accordance with the present invention, it is preferred that the polymer (I) have a glass transition temperature of not lower than 25°C and the polymer chain newly produced by atom transfer radical polymerization with the addition of the polymer (I) have a glass transition temperature of not higher than 25°C or that
25 the polymer (I) have a glass transition temperature of not higher than 25°C and the polymer chain produced by atom transfer radical polymerization upon addition of the polymer (I) have a glass transition temperature of not lower than 25°C.

30 The block copolymer of the present invention is useful as a thermoplastic elastomer or an impact resistance improving agent.

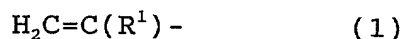
DETAILED DESCRIPTION OF THE INVENTION

35 The present invention is concerned with a block copolymer produced by addition of a polymer (I) having at least one

terminal alkenyl group to a living radical polymerization system or living cationic polymerization system.

Description of polymer (I)

- 5 The terminal alkenyl group of the polymer (I) is not restricted but is preferably one represented by the general formula 1:



- 10 (wherein R^1 is a hydrogen atom or a hydrocarbon group containing 1 to 20 carbon atoms).

In the general formula 1, R^1 is a hydrogen atom or a hydrocarbon group containing 1 to 20 carbon atoms, specifically including, for example, the following groups:

- 15 $-(\text{CH}_2)_n-\text{CH}_3$, $-\text{CH}(\text{CH}_3)-(\text{CH}_2)_n-\text{CH}_3$, $-\text{CH}(\text{CH}_2\text{CH}_3)-(\text{CH}_2)_n-\text{CH}_3$,
 $-\text{CH}(\text{CH}_2\text{CH}_3)_2$, $-\text{C}(\text{CH}_3)_2-(\text{CH}_2)_n-\text{CH}_3$, $-\text{C}(\text{CH}_3)(\text{CH}_2\text{CH}_3)-(\text{CH}_2)_n-\text{CH}_3$,
 $-\text{C}_6\text{H}_5$, $-\text{C}_6\text{H}_5(\text{CH}_3)$, $-\text{C}_6\text{H}_5(\text{CH}_3)_2$, $-(\text{CH}_2)_n-\text{C}_6\text{H}_5$, $-(\text{CH}_2)_n-\text{C}_6\text{H}_5(\text{CH}_3)$,
 $-(\text{CH}_2)_n-\text{C}_6\text{H}_5(\text{CH}_3)_2$

(wherein n is an integer not smaller than 0 and the total number of carbon atoms in each group is not greater than 20).

- 20 Among these, a hydrogen atom is preferred.

Furthermore, it is preferred, though not requisite, that the terminal alkenyl group in polymer (I) be not activated by a carbonyl or alkenyl group or aromatic ring conjugated with the carbon-carbon double bond thereof.

- 25 The mode of bonding between the alkenyl group and the main chain of the polymer (I) is not particularly restricted but is preferably of the carbon-carbon, ester, ester, carbonate, amide, urethane or like bonding type.

- 30 The position of the alkenyl group in polymer (I) is not particularly restricted but may be at the terminus or in the middle of the main chain. In accordance with the present invention, straight-chain block copolymers can be synthesized when said group is at the terminus and, when it is in the middle of the main chain, branched block copolymers ramifying from that
 35 site can be synthesized.

The number of alkenyl groups in polymer (I) is not particularly restricted but may be selected depending on the structure of the desired block copolymer. Thus, said polymer may have one, two or more alkenyl groups.

5 The molecular weight distribution, namely the ratio of weight average molecular weight to number average molecular weight as determined by gel permeation chromatography, in the polymer (I) of the present invention is not particularly restricted but is preferably less than 1.8, more preferably not
10 more than 1.7, still more preferably not more than 1.6, much more preferably not more than 1.5, in particular not more than 1.4, most preferably not more than 1.3. In the practice of the present invention, the number average molecular weight can be determined by GPC generally using chloroform as mobile phase
15 and a polystyrene gel column and thus it can be expressed in terms of polystyrene equivalent.

 The number average molecular weight of the polymer (I) of the present invention is not particularly restricted but is preferably within the range of 500 to 1,000,000, more preferably
20 within the range of 1,000 to 100,000.

 Where the polymer (I) is already a block copolymer, block copolymers composed of three or more polymer blocks can readily be obtained. In that case, mechanical and physical properties and/or refractive index adjustment can effectively be
25 accomplished.

 The production of polymer (I) is described later herein.

Living radical polymerization

 The living radical polymerization system, one of the
30 polymerization systems to which the polymer (I) is to be added for producing block copolymers, is now described in the following.

 Prior to describing the living radical polymerization, mention is made of radical polymerization.

35 Methods of radical polymerization can be classified into

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problem that only polymers having a broad molecular weight distribution and a high viscosity are obtained.

Differing from those methods, the "living radical polymerization methods" hardly undergo termination reaction and give polymers narrow in molecular weight distribution (Mw/Mn being about 1.1 to 1.5) and the molecular weight can arbitrarily be controlled by means of the charge ratio between the monomer and initiator, although they involve a radical polymerization regarded as difficult to control because of a high rate of reaction and a tendency toward termination resulting from coupling of radicals with each other, among others.

Therefore, "living radical polymerization methods", which enable introduction of a specific functional group-containing monomer into a polymer at a substantially arbitrary site thereof in addition to obtaining polymers with a narrow molecular weight distribution and a low viscosity, are more preferred as methods of producing the above-mentioned specific functional group-containing vinyl polymers.

In its narrow sense, the term "living polymerization" means that polymerization in which the molecular chain grows while a terminus always retain activity. Generally, however, it also includes, within the meaning thereof, pseudoliving polymerization in which molecules grow while terminally inactivated molecules and terminally activated ones are in equilibrium. The latter definition is to be applied to the present invention.

"Living radical polymerization methods" have recently been aggressively investigated by a number of groups. As examples, there may be mentioned, among others, the method which uses cobalt porphyrin complex, as shown in the Journal of the American Chemical Society, 1994, vol. 116, page 7943, the method which uses a radical capping agent, for example a nitroxide compound, as shown in Macromolecules, 1994, vol. 27, page 7228, and "atom transfer radical polymerization (ATRP)" in which an

organic halide, for instance, is used as an initiator and a transition metal complex as a catalyst.

Among such "living radical polymerization methods", the "atom transfer polymerization methods" for polymerizing vinyl monomers using an organic halide, sulfonyl halide or the like as an initiator and a transition metal complex as a catalyst are more preferred as the method of producing specific functional group-containing vinyl polymers, since, in addition to the characteristic features of the above-mentioned "living radical polymerization methods", halogen, etc., relatively favorable for functional group conversion reactions is contained at its terminus and the degree of freedom is high in designing the initiator or catalyst. Such atom transfer radical polymerization methods are described, for example, in Matyjaszewski et al., the Journal of the American Chemical Society, 1995, vol. 117, page 5614; Macromolecules, 1995, vol. 28, page 7901; Science, 1996, vol. 272, page 866; WO 96/30421; WO 97/18247; WO 98/01480; WO 98/40415; Sawamoto et al., Macromolecules, 1995, vol. 28, page 1721; Japanese Kokai Publication Hei-09-208616; and Japanese Kokai Publication Hei-08-41117.

In the present invention, there are no particular restrictions as to which of those living radical polymerization methods is to be employed, although the atom transfer radical polymerization methods are preferred.

In the following, the living radical polymerization methods are described in detail. Prior to doing so, one of the controlled radical polymerization methods, namely the method comprising using a chain transfer agent, which can be used for the production of the polymer (I) to be mentioned later herein, is described. The radical polymerization method using a chain transfer agent (telomer) is not particularly restricted but there may be mentioned, among others, the following two techniques by which vinyl polymers having a terminal structure suited for the practice of the present invention can be

obtained.

They are the one comprising using a halogenated hydrocarbon as chain transfer agent to obtain halogen-terminated polymers, as disclosed in Japanese Kokai Publication Hei-04-132706, and the one comprising using a hydroxyl-containing mercaptan, hydroxyl-containing polysulfide or the like as a chain transfer agent to obtain hydroxyl-terminated polymers, as disclosed in Japanese Kokai Publication Sho-61-271306, Japanese Patent 2,594,402 and Japanese Kokai Publication Sho-54-47782.

Living radical polymerization is described in the following.

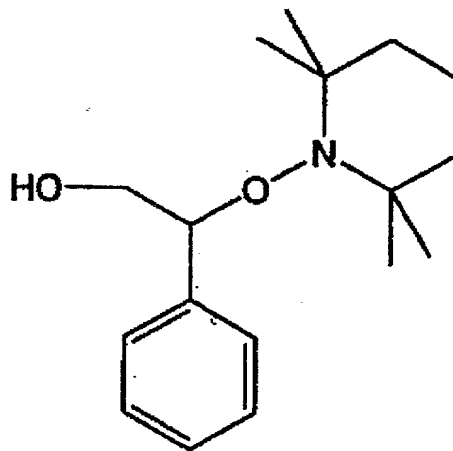
First, the method which uses a radical capping agent, such as a nitroxide compound, is described. In this polymerization, a stable nitroxy free radical ($=N-O\cdot$) is generally used as a radical capping agent. Such compounds are not restricted but is preferably a 2,2,6,6-substituted-1-piperidinyloxy radical, a 2,2,5,5-substituted-1-pyrrolidinyloxy radical or a cyclic hydroxyamine-derived nitroxy free radical. Suitable substituents are alkyl groups containing not more than four carbon atoms, such as methyl or ethyl. Specific nitroxy free radical compounds are not restricted but include, among others, 2,2,6,6-tetramethyl-1-piperidinyloxy radical (TEMPO), 2,2,6,6-tetraethyl-1-piperidinyloxy radical, 2,2,6,6-tetramethyl-4-oxo-1-piperidinyloxy radical, 2,2,5,5-tetramethyl-1-pyrrolidinyloxy radical, 1,1,3,3-tetramethyl-2-isoindolinyloxy radical and N,N-di-tert-butylamine-oxy radical. Such a stable free radical as galvinoxyl free radical may be used in lieu of the nitroxy free radical.

Said radical capping agent is used in combination with a radical generator. It is thought that the reaction product from the radical capping agent and radical generator supposedly acts as a polymerization initiator to thereby cause the polymerization of an addition-polymerizable monomer to proceed. The ratio between the amounts of both is not particularly

While various compounds can be used as a radical generator, a peroxide capable of generating a radical under polymerization

5 temperature conditions is preferred. Said peroxide is not
restricted but includes, among others, diacyl peroxides such
as benzoyl peroxide and lauroyl peroxide; dialkyl peroxides
such as dicumyl peroxides and di-t-butyl peroxide;
peroxycarbonates such as diisopropyl peroxydicarbonate and
10 bis(4-t-butylcyclohexyl) peroxydicarboante; alkyl peresters
such as t-butyl peroxyoctoate and t-butyl peroxybenzoate. In
particular, benzoyl peroxide is preferred. Further, such a
radical generator as a radical generating azo compound, for
example azobisisobutyronitrile, may also be used in lieu of the
15 peroxide.

As reported in *Macromolecules*, 1995, vol. 28, page 2993, alkoxyamine compounds such as illustrated below may be used in lieu of the combined use of a radical capping agent and a radical generator.



When an alkoxy amine compound is used as an initiator and when said compound is a hydroxyl- or like functional group-containing one such as illustrated above, functional group-terminated polymers are obtained. When this is utilized in the

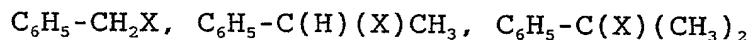
The polymerization conditions, such as monomer, solvent, polymerization temperature, etc., to be used in carrying out the polymerization using the above nitroxide compound or like radical capping agent are not restricted but may be the same as those to be used in the atom transfer radical polymerization described in the following.

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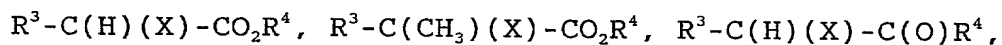
The atom transfer radical polymerization methods, which are preferred as living radical polymerization methods to be used in the practice of the present invention, are now described.

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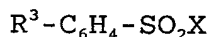
20



(in the above chemical formulas, C_6H_5 is a phenyl group and X is chlorine, bromine or iodine);



(in which R³ and R⁴ each is a hydrogen atom or an alkyl group having 1 to 20 carbon atoms, aryl group or aralkyl group and X is chlorine, bromine or iodine); and

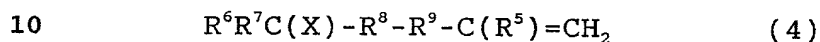


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radical polymerization. In such case, vinyl polymers having the functional group at one main chain terminus and the growing terminal structure for atom transfer radical polymerization at the other main chain terminus are obtained. As such functional group, there may be mentioned alkenyl, crosslinking silyl, hydroxyl, epoxy, amino and amide groups, among others.

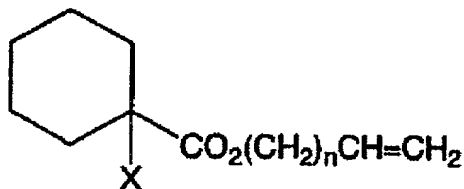
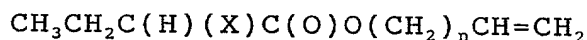
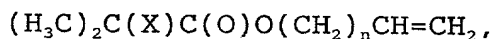
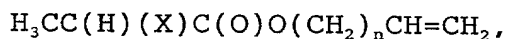
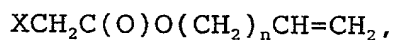
The alkenyl-containing organic halide is not restricted but may be one having the structure shown by the general formula 4:



(wherein R^5 is a hydrogen atom or a methyl group, R^6 and R^7 each is a hydrogen atom or a monovalent alkyl having 1 to 20 carbon atoms, aryl or aralkyl group and R^6 and R^7 may be bound to each other at respective other termini, R^8 is $-C(O)O-$ (ester group), $-C(O)-$ (keto group) or an o-, m- or p-phenylene group, R^9 is a direct bond or a divalent organic group having 1 to 20 carbon atoms, which may optionally contain one or more ether bonds, and X is chlorine, bromine or iodine.

As specific examples of the substituents R^6 and R^7 , there may be mentioned hydrogen, methyl, ethyl, n-propyl, isopropyl, butyl, pentyl, hexyl, etc. R^6 and R^7 may be bound to each other at respective other termini to form a cyclic skeleton.

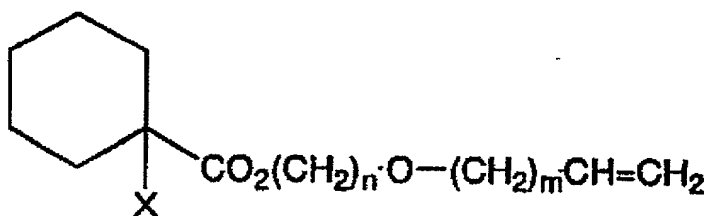
As specific examples of the alkenyl-containing organic halide represented by the general formula 4, there may be mentioned the following:



$$\text{XCH}_2\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{O}(\text{CH}_2)_m\text{CH}=\text{CH}_2,$$

5 $(\text{H}_3\text{C})_2\text{C}(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{O}(\text{CH}_2)_m\text{CH}=\text{CH}_2,$

5 $(\text{H}_3\text{C})_2\text{C}(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{O}(\text{CH}_2)_m\text{CH}=\text{CH}_2,$



```
10  an integer of 1 to 20, and m is an integer of 0 to 20);
```

$$o, m, p\text{-XCH}_2\text{-C}_6\text{H}_4\text{-(CH}_2\text{)}_n\text{-CH=CH}_2,$$
$$o,m,p\text{-CH}_3\text{C(H)(X)-C}_6\text{H}_4\text{-(CH}_2\text{)}_n\text{-CH=CH}_2,$$
$$o, m, p\text{-CH}_3\text{CH}_2\text{C}(\text{H})(\text{X})\text{-C}_6\text{H}_4\text{-(CH}_2\text{)}_n\text{-CH=CH}_2,$$

```
15  n is an integer of 0 to 20);
```

$$o, m, p\text{-XCH}_2\text{-C}_6\text{H}_4\text{-(CH}_2\text{)}_n\text{-O-(CH}_2\text{)}_m\text{-CH=CH}_2,$$
$$o, m, p\text{-CH}_3\text{C(H)(X)-C}_6\text{H}_4\text{-(CH}_2\text{)}_n\text{-O-(CH}_2\text{)}_m\text{-CH=CH}_2,$$
$$o, m, p\text{-CH}_3\text{CH}_2\text{C(H)(X)-C}_6\text{H}_4\text{-(CH}_2\text{)}_n\text{-O-(CH}_2\text{)}_m\text{CH=CH}_2,$$

```
20  an integer of 1 to 20, and m is an integer of 0 to 20);
```

$$o, m, p\text{-XCH}_2\text{-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-CH=CH}_2,$$
$$o, m, p\text{-CH}_3\text{C(H)(X)-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-CH=CH}_2,$$
$$o, m, p\text{-CH}_3\text{CH}_2\text{C}(\text{H})(\text{X})\text{-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-CH=CH}_2,$$

```
25  n is an integer of 0 to 20);
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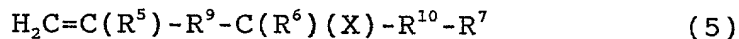
$$o, m, p\text{-XCH}_2\text{-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-O-(CH}_2\text{)}_m\text{-CH=CH}_2,$$
$$o, m, p\text{-CH}_3\text{C(H)(X)-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-O-(CH}_2\text{)}_m\text{-CH=CH}_2,$$
$$o, m, p\text{-CH}_3\text{CH}_2\text{C}(\text{H})(\text{X})\text{-C}_6\text{H}_4\text{-O-(CH}_2)_n\text{-O-(CH}_2)_m\text{-CH=CH}_2,$$

```

30  an integer of 1 to 20 and m is an integer of 0 to 20)).

```

As the alkenyl-containing organic halide, there may further be mentioned compounds represented by the general formula 5:



- 5 (wherein R^5 , R^6 , R^7 , R^9 and X are defined above and R^{10} is a direct bond, $-\text{C}(\text{O})\text{O}-$ (ester group), $-\text{C}(\text{O})-$ (keto group) or an o-, m- or p-phenylene group).

- R^9 is a direct bond or a divalent organic group having 1 to 20 carbon atoms (which may contain one or more ether bonds) and, when it is a direct bond, the vinyl group is bound to the carbon to which the halogen is bound, to form an allyl halide. In this case, the carbon-halogen bond is activated by the neighboring vinyl group, so that it is not always necessary for R^{10} to be a $\text{C}(\text{O})\text{O}$ group or a phenylene group, for instance, but it may be a direct bond. When R^9 is not a direct bond, R^{10} is preferably a $\text{C}(\text{O})\text{O}$ group, $\text{C}(\text{O})$ group or phenylene group so that the carbon-halogen bond may be activated.

- As a specific example of compounds represented by the general formula 5, there can be mentioned,
- 20 $\text{CH}_2=\text{CHCH}_2\text{X}$, $\text{CH}_2=\text{C}(\text{CH}_3)\text{CH}_2\text{X}$,
 $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{CH}_3$, $\text{CH}_2=\text{C}(\text{CH}_3)\text{C}(\text{H})(\text{X})\text{CH}_3$,
 $\text{CH}_2=\text{CHC}(\text{X})(\text{CH}_3)_2$, $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{C}_2\text{H}_5$,
 $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{CH}(\text{CH}_3)_2$,
 $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{C}_6\text{H}_5$, $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{CH}_2\text{C}_6\text{H}_5$,
 25 $\text{CH}_2=\text{CHCH}_2\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$,
 $\text{CH}_2=\text{CH}(\text{CH}_2)_2\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$,
 $\text{CH}_2=\text{CH}(\text{CH}_2)_3\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$,
 $\text{CH}_2=\text{CH}(\text{CH}_2)_8\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$,
 $\text{CH}_2=\text{CHCH}_2\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$,
 30 $\text{CH}_2=\text{CH}(\text{CH}_2)_2\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$,
 $\text{CH}_2=\text{CH}(\text{CH}_2)_3\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$,
 (in the above formulas, X is chlorine, bromine or iodine, and R is an alkyl group having 1 to 20 carbon atoms, aryl group or aralkyl group)
- 35 Specific examples of the alkenyl-containing sulfonyl

halide are as follows:

o-, m- or p-CH₂=CH-(CH₂)_n-C₆H₄-SO₂X and

o-, m- or p-CH₂=CH-(CH₂)_n-O-C₆H₄-SO₂X

(wherein, in each formula, X is chlorine, bromine or iodine and
5 n is an integer of 0 to 20), among others.

The above-mentioned crosslinking silyl-containing organic halide is not particularly restricted but includes, among others, those having a structure shown by the general formula 6:

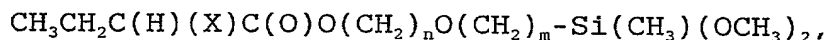
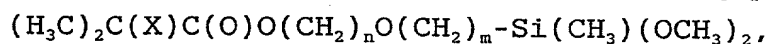
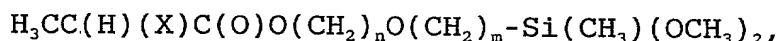
10 $R^6R^7C(X)-R^8-R^9-C(H)(R^5)CH_2-$

$[Si(R^{11})_{2-b}(Y)_bO]_m-Si(R^{12})_{3-a}(Y)_a \quad (6)$

(wherein R⁵, R⁶, R⁷, R⁸, R⁹ and X are as defined above, R¹¹ and R¹² each is an alkyl group having 1 to 20 carbon atoms, aryl or aralkyl group, or a triorganosiloxy group represented by
15 (R')₃SiO- (in which R' is a monovalent hydrocarbon group having 1 to 20 carbon atoms and the three R' groups may be the same or different) and, when there are two or more R¹¹ and/or R¹² groups, they may be the same or different, Y represents a hydroxyl group or a hydrolyzable group and, when there are two or more Y groups,
20 they may be the same or different, a represents 0, 1, 2 or 3, b represents 0, 1 or 2 and m is an integer of 0 to 19, provided that the relation a + mb ≥ 1 should be satisfied.

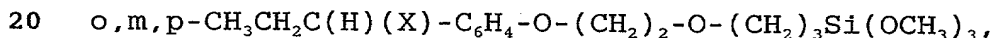
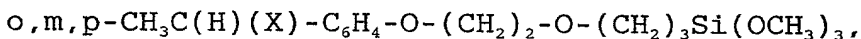
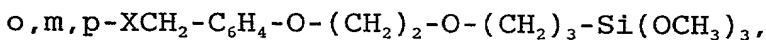
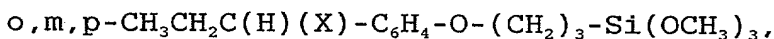
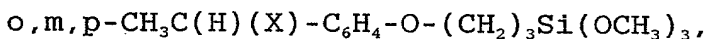
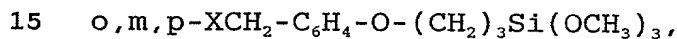
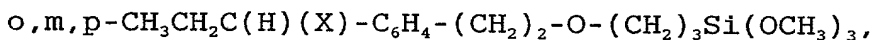
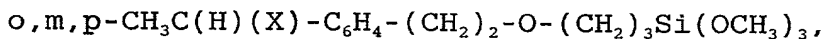
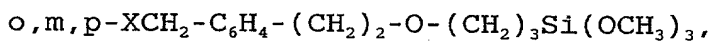
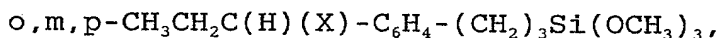
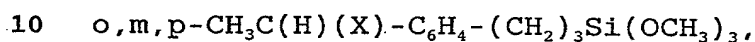
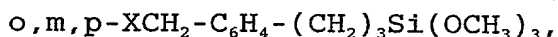
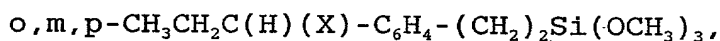
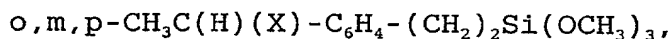
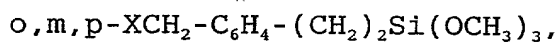
As a specific example of compounds represented by the general formula 6, there can be mentioned,

25 XCH₂C(O)O(CH₂)_nSi(OCH₃)₃, CH₃C(H)(X)C(O)O(CH₂)_nSi(OCH₃)₃,
(CH₃)₂C(X)C(O)O(CH₂)_nSi(OCH₃)₃, XCH₂C(O)O(CH₂)_nSi(CH₃)(OCH₃)₂,
CH₃C(H)(X)C(O)O(CH₂)_nSi(CH₃)(OCH₃)₂,
(CH₃)₂C(X)C(O)O(CH₂)_nSi(CH₃)(OCH₃)₂,
(wherein, in each formula, X is chlorine, bromine or iodine and
30 n is an integer of 0 to 20),
XCH₂C(O)O(CH₂)_nO(CH₂)_mSi(OCH₃)₃,
H₃CC(H)(X)C(O)O(CH₂)_nO(CH₂)_mSi(OCH₃)₃,
(H₃C)₂C(X)C(O)O(CH₂)_nO(CH₂)_mSi(OCH₃)₃,
CH₃CH₂C(H)(X)C(O)O(CH₂)_nO(CH₂)_mSi(OCH₃)₃,
35 XCH₂C(O)O(CH₂)_nO(CH₂)_mSi(CH₃)(OCH₃)₂,



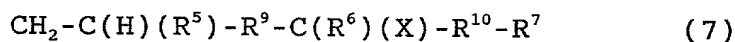
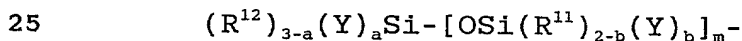
(wherein, in each formula, X is chlorine, bromine or iodine,

5 n is an integer of 0 to 20, and m is an integer of 0 to 20),



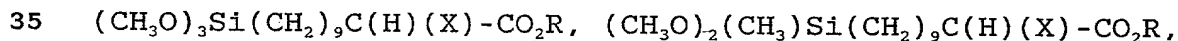
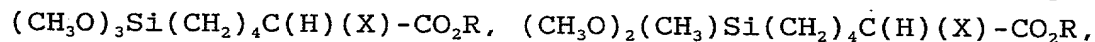
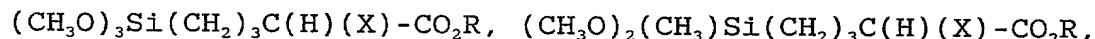
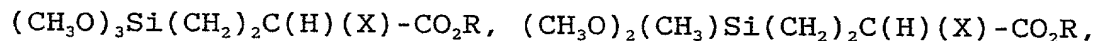
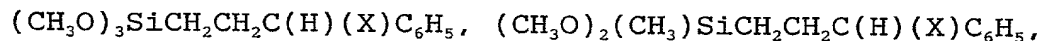
(wherein, in each formula, X is chlorine, bromine or iodine).

As further examples of the crosslinking silyl-containing organic halide, there may be mentioned those having a structure represented by the general formula 7.



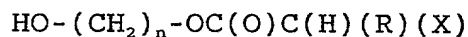
(wherein R^5 , R^6 , R^7 , R^9 , R^{10} , R^{11} , R^{12} , a, b, m, X and Y are as defined above).

30 As a specific example of such compounds, there can be mentioned;



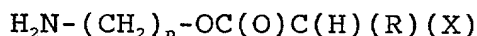
$(\text{CH}_3\text{O})_3\text{Si}(\text{CH}_2)_3\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$, $(\text{CH}_3\text{O})_2(\text{CH}_3)\text{Si}(\text{CH}_2)_3\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$,
 $(\text{CH}_3\text{O})_3\text{Si}(\text{CH}_2)_4\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$, $(\text{CH}_3\text{O})_2(\text{CH}_3)\text{Si}(\text{CH}_2)_4\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$,
 (wherein, in each formula, X is chlorine, bromine or iodine, R is an alkyl group having 1 to 20 carbon atoms, aryl group,
 5 or aralkyl group.), and the like.

The above-mentioned hydroxyl-containing organic halide or sulfonyl halide is not particularly restricted but includes compounds represented by the following formula:



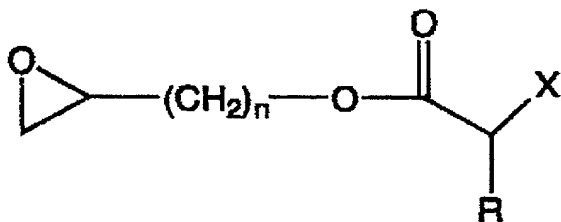
10 (wherein X is chlorine, bromine or iodine, R is a hydrogen atom or an alkyl having 1 to 20 carbon atoms, aryl or aralkyl group and n is an integer of 1 to 20).

The above-mentioned amino-containing organic halide or sulfonyl halide is not particularly restricted but includes compounds represented by the following formula:



(wherein X is chlorine, bromine or iodine, R is a hydrogen atom or an alkyl group having 1 to 20 carbon atoms, aryl or aralkyl group and n is an integer of 1 to 20).

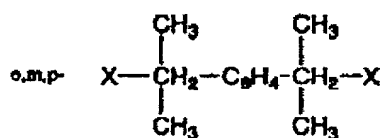
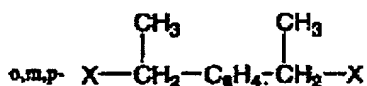
20 The above-mentioned epoxy-containing organic halide or sulfonyl halide is not particularly restricted but includes compounds represented by the following formula:



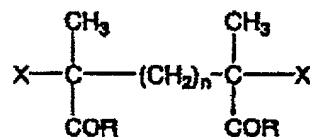
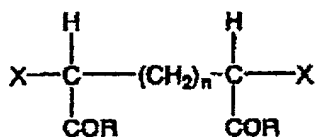
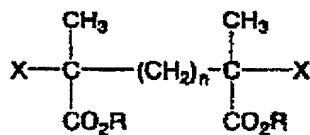
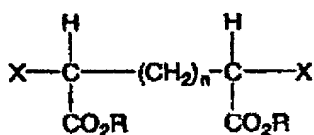
25 (wherein X is chlorine, bromine or iodine, R is a hydrogen atom or an alkyl group having 1 to 20 carbon atoms, aryl or aralkyl group and n is an integer of 1 to 20).

For obtaining polymers having two or more terminal structures specified by the present invention within each
 30 molecule, an organic halide or sulfonyl halide having two or

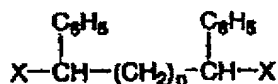
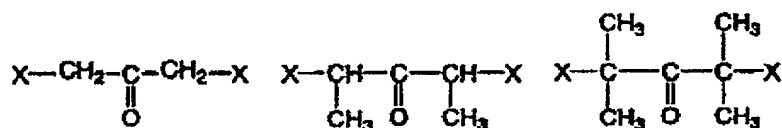
more initiation sites is preferably used. Specific examples are:



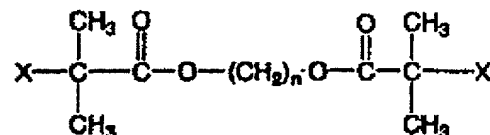
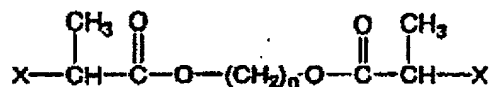
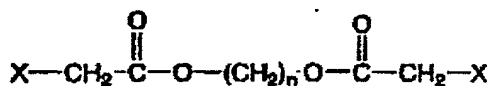
- 5 (in which C_6H_4 represents a phenylene group and X is chlorine, bromine or iodine);



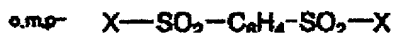
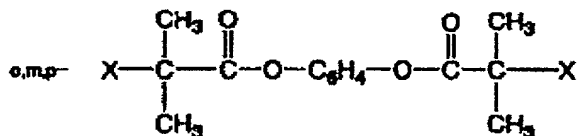
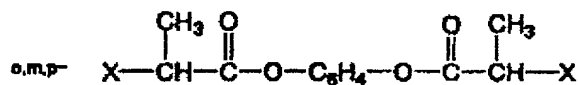
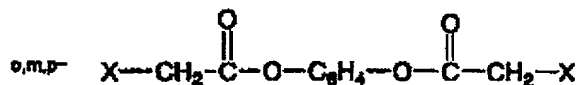
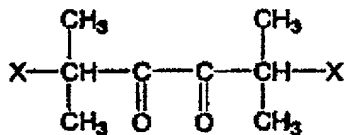
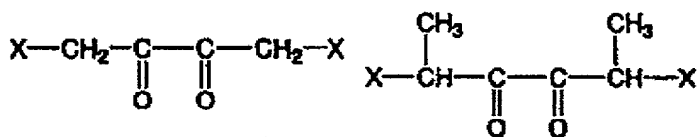
- 10 (in which R is an alkyl group having 1 to 20 carbon atoms, aryl or aralkyl group, n is an integer of 0 to 20 and X is chlorine, bromine or iodine);



- 15 (in which X is chlorine, bromine or iodine and n is an integer of 0 to 20);



(in which n is an integer of 0 to 20 and X is chlorine, bromine or iodine);



5

(in which X is chlorine, bromine or iodine), and the like.

The vinyl monomer to be used in this polymerization is not particularly restricted but those specifically mentioned later herein all can judiciously be used.

10

The transition metal complex to be used as a polymerization catalyst is not particularly restricted but

preferably is a metal complex containing an element of the group 7, 8, 9, 10 or 11 of the periodic table as a central metal. More preferred are complexes of copper (valence: zero), monovalent copper, divalent ruthenium, divalent iron or divalent nickel.

5 Among them, copper complexes are preferred. Specific examples of monovalent copper compounds are cuprous chloride, cuprous bromide, cuprous iodide, cuprous cyanide, cuprous oxide, cuprous perchlorate and the like. When a copper compound is used, a ligand, such as 2,2'-bipyridyl or a derivative thereof,

10 1,10-phenanthroline or a derivative thereof or a polyamine such as tetramethylethylenediamine, pentamethyldiethylenetriamine or hexamethyltris(2-aminoethyl)amine, is added to enhance the catalytic activity. A tris(triphenylphosphine) complex of divalent ruthenium chloride ($\text{RuCl}_2(\text{PPh}_3)_3$) is also suited for

15 use as a catalyst. When a ruthenium compound is used as a catalyst, an aluminum alkoxide is added as an activator. Furthermore, a bis(triphenylphosphine) complex of divalent iron ($\text{FeCl}_2(\text{PPh}_3)_2$), a bis(triphenylphosphine) complex of divalent nickel ($\text{NiCl}_2(\text{PPh}_3)_2$) and a bis(tributylphosphine) complex of

20 divalent nickel ($\text{NiBr}_2(\text{PBU}_3)_2$) are also suited as catalysts.

The polymerization can be carried out in the absence or presence of various solvents. As the solvent species, there may be mentioned, among others, hydrocarbon solvents such as benzene and toluene, ether solvents such as diethyl ether and

25 tetrahydrofuran, halogenated hydrocarbon solvents such as methylene chloride and chloroform, ketone solvents such as acetone, methyl ethyl ketone and methyl isobutyl ketone, alcohol solvents such as methanol, ethanol, propanol, isopropanol, n-butyl alcohol and tert-butyl alcohol, nitrile

30 solvents such as acetonitrile, propionitrile and benzonitrile, ester solvents such as ethyl acetate and butyl acetate, and carbonate solvents such as ethylene carbonate and propylene carbonate. These may be used singly or two or more of them may be used in admixture. The polymerization can be conducted

35 within the temperature range of 0°C to 200°C , preferably 50°C

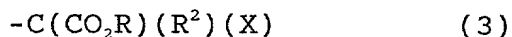
to 150°C, although said range is not limited.

<Production of multiblock copolymers by living radical polymerization>

5 When, in an atom transfer radical polymerization system, the polymer (I) has a group capable of serving as an initiator group for atom transfer radical polymerization, the block copolymer produced can be a multiblock copolymer. The group of the polymer (I) to serve as an initiator group in atom transfer
10 radical polymerization is not particularly restricted but is preferably one represented by the general formula 2 or the general formula 3:



(wherein Ar is an aryl group, which may optionally have a
15 substituent, R² is a hydrogen atom or a hydrocarbon group having
1 to 20 carbon atoms and X is chlorine, bromine or iodine);



(wherein Ar is an aryl group, which may optionally have a substituent, R² is a hydrogen atom or a methyl group, R is an organic group having 1 to 20 carbon atoms and X is chlorine, bromine or iodine).

In the general formula 2 and 3, R^2 is preferably a hydrogen atom, although this is not restricted. When, in the general formula 2, Ar has a substituent, the substituent is not particularly restricted but include, among others, halogens and saturated or unsaturated hydrocarbon groups having 1 to 20 carbon atoms.

<Monomers in living radical polymerization>

30 The vinyl monomer to be used in the living radical
polymerization according to the present invention is not
particularly restricted but may be any of various ones.
Examples are (meth)acrylic monomers such as (meth)acrylic acid,
methyl (meth)acrylate, ethyl (meth)acrylate, n-propyl
35 (meth)acrylate, isopropyl (meth)acrylate, n-butyl

(meth)acrylate, isobutyl (meth)acrylate, tert-butyl (meth)acrylate, n-pentyl (meth)acrylate, n-hexyl (meth)acrylate, cyclohexyl (meth)acrylate, n-heptyl (meth)acrylate, n-octyl (meth)acrylate, 2-ethylhexyl (meth)acrylate, nonyl (meth)acrylate, decyl (meth)acrylate, dodecyl (meth)acrylate, phenyl (meth)acrylate, toluyl (meth)acrylate, benzyl (meth)acrylate, 2-methoxyethyl (meth)acrylate, 3-methoxybutyl (meth)acrylate, 2-hydroxyethyl (meth)acrylate, 2-hydroxypropyl (meth)acrylate, stearyl (meth)acrylate, glycidyl (meth)acrylate, 2-aminoethyl (meth)acrylate, γ -(methacryloyloxypropyl)trimethoxysilane, (meth)acrylic acid-ethylene oxide adducts, trifluoromethylmethyl (meth)acrylate, 2-trifluoromethylethyl (meth)acrylate, 2-perfluoroethylethyl (meth)acrylate, 2-perfluoroethyl-2-perfluorobutylethyl (meth)acrylate, 2-perfluoroethyl (meth)acrylate, perfluoromethyl (meth)acrylate, diperfluoromethylmethyl (meth)acrylate, 2-perfluoromethyl-2-perfluoroethylmethyl (meth)acrylate, 2-perfluorohexylethyl (meth)acrylate, 2-perfluorodecylethyl (meth)acrylate and 2-perfluorohexadecylethyl (meth)acrylate; styrenic monomers such as styrene, vinyltoluene, α -methylstyrene, chlorostyrene, styrenesulfonic acid and salts thereof; fluorine-containing vinyl monomers such as perfluoroethylene, perfluoropropylene and vinylidene fluoride; silicon-containing vinyl monomers such as vinyltrimethoxysilane and vinyltriethoxysilane; maleic anhydride, maleic acid, maleic acid monoalkyl esters and dialkyl esters; fumaric acid, fumaric acid monoalkyl esters and dialkyl esters; maleimide monomers such as maleimide, methylmaleimide, ethylmaleimide, propylmaleimide, butylmaleimide, hexylmaleimide, octylmaleimide, dodecylmaleimide, stearylmaleimide, phenylmaleimide and cyclohexylmaleimide; nitrile group-containing vinyl monomers such as acrylonitrile and methacrylonitrile; amide group-containing vinyl monomers such as acrylamide and

methacrylamide; vinyl esters such as vinyl acetate, vinyl propionate, vinyl pivalate, vinyl benzoate and vinyl cinnamate; alkenes such as ethylene and propylene; conjugated dienes such as butadiene and isoprene; vinyl chloride, vinylidene chloride, allyl chloride, allyl alcohol and so on. These may be used singly or a plurality thereof may be copolymerized. Among them, styrenic monomers and (meth)acrylic monomers are preferred from the viewpoint of physical properties of the products. More preferred are acrylic acid ester monomers and methacrylic acid ester monomers, in particular acrylic acid ester monomers and most preferred are butyl acrylate monomers. In the practice of the present invention, it is necessary for the growing terminus in living radical polymerization to add to the alkenyl group of polymer (I), and the addition activity, which differs according to the structure of said growing terminus, generally decreases in the order of acrylic type terminus, methacrylic type terminus and styrenic type terminus, though this order does not always apply. Therefore, when a monomer capable of enhancing the activity of the growing terminus, for example an acrylic monomer, is added to a polymerization system in which addition, hence block copolymer formation, is difficult to realize, that monomer, when it is at the terminus, tends to add to the alkenyl group with ease, possibly improving the yield of the block copolymer. In the practice of the present invention, such a preferred monomer may be copolymerized with some other monomers and, on that occasion, the content of such preferred monomer is preferably 40% on the weight basis. The term (meth)acrylic acid employed hereinabove means acrylic acid and/or methacrylic acid.

<Addition of polymer (I) to the living radical polymerization system>

The time for adding the polymer (I) to the living radical polymerization system is not particularly restricted but preferably at the terminal stage of polymerization. The amount

of polymer (I) to be added is not particularly restricted but is preferably such that the number of growing termini in the living radical polymerization be equal to the number of termini of the general formula 1 in polymer (I).

5 The polymer (I) may be added as such or in a dissolved state in a solvent inert to the living radical polymerization.

The time for adding the polymer (I) having an initiator group for atom transfer radical polymerization to the atom transfer radical polymerization system is not particularly restricted but preferably should be adequate to the production of the desired multiblock copolymer. There may be mentioned, for example, the technique already mentioned hereinabove which comprises adding it as an initiator from the initial stage of polymerization, the technique which comprises adding it during polymerization, and the technique which comprises adding it at the point of time of completion of the polymerization and adding simultaneously or later the radical polymerizable monomer again. The point of time of completion of the polymerization is preferably the time point at which not less than 90%, more preferably not less than 99% of the monomer has been polymerized. Mere addition of the polymer (I) having an initiator group for atom transfer radical polymerization after completion of the polymerization hardly results in multiblock formation, and therefore one more addition of the radical polymerizable monomer is required. If this time of addition is too late, the growing terminus of the polymer (I) having an initiator group for atom transfer radical polymerization may possibly add directly to the alkenyl group terminus of another polymer (I) having the initiator group for atom transfer radical polymerization; therefore, care should be taken. The amount of the polymer (I) having an initiator group for atom transfer radical polymerization is not particularly restricted but is preferably such that the number of growing termini in atom transfer radical polymerization be equal to the number of

initiator groups for atom transfer radical polymerization which the polymer (I) having the initiator group for atom transfer radical polymerization has and to which said growing termini are to add. When the polymer (I) having an initiator group for atom transfer radical polymerization is used as an initiator for atom transfer radical polymerization from the beginning, the number of alkenyl termini to undergo addition is in principle equal to that of growing termini, as mentioned hereinabove. When another initiator is used, however, the number of growing termini becomes greater by the number of molecules of said initiator. It is therefore desirable to adjust the proportion thereof according to the desired multiblock copolymer.

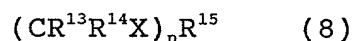
15 Living cationic polymerization

A living cationic polymerization, which is one of the polymerization systems for producing block copolymers by adding the polymer (I), is described below.

Living cationic polymerization constitutes a technique of polymerization by which the isomerization, chain transfer reaction and termination reaction of the growing carbenium ion, which are problems encountered in cationic polymerization, are controlled and in which the polymerization proceeds apparently without deactivation of the growing terminus. The term "apparently" is used to include the case as well where polymers grow while terminally deactivated molecules and terminally activated molecules are in equilibrium, as in the above-mentioned living radical polymerization. Among reported examples of living cationic polymerization, there are vinyl ether polymerization using a combined initiator comprising hydrogen iodide and iodine (Higashimura et al.: Macromolecules, 17, 265, 1984), and polymerization of a monomeric olefin, such as isobutylene, using an organic carboxylic acid or an ester thereof or an ether as an initiator in combination with a Lewis acid (Kennedy et al.: Japanese Kokai Publication Sho-62-48704;

Japanese Kokai Publication Sho-64-62308), among others.

In the practice of the present invention, the living cationic polymerization for producing the desired block copolymer by adding the polymer (I) is not particularly restricted but it comprises polymerizing a cationically polymerizable monomer in the presence of a compound of the following general formula 8:



(wherein X is a substituent selected from a halogen atom, an alkoxy group having 1 to 6 carbon atoms and an acyloxy group, R^{13} and R^{14} may be the same or different and each is a hydrogen atom or a monovalent hydrocarbon group having 1 to 6 carbon atoms, R^{15} is a polyvalent aromatic or aliphatic hydrocarbon group and n is a natural number of 1 to 6).

<Monomers in living cationic polymerization>

The monomer to be used for the living cationic polymerization according to the present invention is not particularly restricted but includes, for example, aliphatic olefins, aromatic vinyls, dienes, vinyl ethers, silanes, vinylcarbazole, β -pinene, acenaphthylene and like monomers. These are used singly or two or more of them are used combinedly. Specific examples of the monomer are shown below. From the viewpoint of physical properties of the product copolymers, isobutylene is preferred among others.

As the aliphatic olefin monomers, there may be mentioned isobutylene, ethylene, propylene, 1-butene, 2-methyl-1-butene, 3-methyl-1-butene, pentene, hexene, cyclohexene, 4-methyl-1-pentene, vinylcyclohexene, octene, norbornene and the like.

As the aromatic vinyl monomers, there may be mentioned styrene, o-, m- or p-methylstyrene, α -methylstyrene, β -methylstyrene, 2,6-dimethylstyrene, 2,4-dimethylstyrene, α -methyl-o-methylstyrene, α -methyl-m-methylstyrene, α -methyl-p-methylstyrene, β -methyl-o-methylstyrene, β -methyl-m-methylstyrene,

5 2,4-dichlorostyrene, α -chloro-o-chlorostyrene,
 α -chloro-m-chlorostyrene, α -chloro-p-chlorostyrene,
 β -chloro-o-chlorostyrene, β -chloro-m-chlorostyrene,
 β -chloro-p-chlorostyrene, 2,4,6-trichlorostyrene,
 α -chloro-2,6-dichlorostyrene, α -chloro-2,4-dichlorostyrene,
10 β -chloro-2,6-dichlorostyrene, β -chloro-2,4-dichlorostyrene,
o-, m- or p-t-butylstyrene, o-, m- or p-methoxystyrene,
o-, m- or p-chloromethylstyrene,
o-, m- or p-bromomethylstyrene, silyl-substituted styrene
derivatives, indene, vinyl-naphthalene and the like.

As the vinyl ether monomers, there may be mentioned methyl vinyl ether, ethyl vinyl ether, (n-, iso)propyl vinyl ether, (n-, sec-, tert-, iso)butyl vinyl ether, methyl propenyl ether, ethyl propenyl ether and the like.

<Initiator for living cationic polymerization>

The above-mentioned compound of the general formula 8 serves as an initiator and forms a carbon cation in the presence of a Lewis acid and the like, and carbon cation presumably serves

(1-chloro-1-methylethyl)benzene

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1,4-bis(1-chloro-1-methylethyl)benzene

$$1,4-\text{Cl}(\text{CH}_3)_2\text{CC}_6\text{H}_4\text{C}(\text{CH}_3)_2\text{Cl}$$

1,3-bis(1-chloro-1-methylethyl)benzene

$$1,3\text{-Cl}(\text{CH}_3)_2\text{CC}_6\text{H}_4\text{C}(\text{CH}_3)_2\text{Cl}$$

10 1,3,5-tris(1-chloro-1-methylethyl)benzene

$$1,3,5-(\text{ClC}(\text{CH}_3)_2)_3\text{C}_6\text{H}_3$$

1,3-bis(1-chloro-1-methylethyl)-(5-tert)-butylbenzene

$$1,3-(C(CH_3)_2Cl)_2-5-(C(CH_3)_3)C_6H_3$$

Particularly preferred among them are bis(1-chloro-1-methylethyl)benzenes [$C_6H_4(C(CH_3)_2Cl)_2$] (bis(1-chloro-1-methylethyl)benzenes are also called bis(α -chloroisopropyl)benzenes, bis(2-chloro-2-propyl)benzenes or dicumyl chlorides). These are bifunctional initiators and, when the polymerization is started with these, polymers having growing termini at both ends are obtained and these, upon reaction with the block copolymer (I), readily give block copolymers of the type ABCBA.

<Catalyst for living cationic polymerization>

25 The polymerization for producing isobutylene-derived
block copolymers may be carried out in the presence of a Lewis
acid catalyst. Such Lewis acid may be any of those which can
be used in cationic polymerization. Suited for use are, for
example, metal halides such as TiCl_4 , TiBr_4 , BCl_3 , BF_3 , $\text{BF}_3 \cdot \text{OEt}_2$,
30 SnCl_4 , SbCl_5 , SbF_5 , WCl_6 , TaCl_5 , VCl_5 , FeCl_3 , ZnBr_2 , AlCl_3 and AlBr_3 ;
and organometal halides such as Et_2AlCl and EtAlCl_2 .

Considering the catalytic activity and commercial availability, TiCl_4 , BCl_3 and SnCl_4 are preferred. The amount of the Lewis acid to be used is not particularly restricted but
35 can be selected according to the polymerization characteristics

or concentration of the monomer employed. Generally, the catalyst is used in an amount of 0.1 to 100 mole equivalents, preferably 1 to 60 mole equivalents, relative to the compound of the general formula 8.

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<Electron-donating component in living cationic polymerization>

The polymerization for producing isobutylene-derived block copolymers may also be carried out, when necessary, in the presence of an electron-donating component. Said electron-donating component is considered to have a stabilizing effect on growing carbon cations in cationic polymerization and, upon adding such electron donor, polymers having a controlled structure narrow in molecular weight distribution are formed.

The electron-donating component which can be used is not particularly restricted but includes, among others, pyridines, amines, amides, sulfoxides, esters and metal compounds having an oxygen atom bound to the metal atom.

The amount of use of each component can adequately be designed according to the characteristics of the desired polymer. First, the molecular weight of the product polymer can be determined based on the mole equivalent relationship between the cationically polymerizable monomer other than the isobutylenic monomer and isobutylene and the compound of the general formula 8. Generally, designing is made so that the product block copolymer may have a number average molecular weight of about 20,000 to 500,000.

<Polymerization conditions of living cationic polymerization>

The present invention can be carried out in a solvent, if necessary. Any solvent can be used without any particular limitation provided that it will not substantially inhibit the cationic polymerization. Typically, there may be mentioned halogenated hydrocarbons such as methyl chloride, dichloromethane, chloroform, ethyl chloride, dichloroethane,

n-propyl chloride, n-butyl chloride and chlorobenzene; benzene and alkylbenzenes such as toluene, xylene, ethylbenzene, propylbenzene and butylbenzene; straight-chain aliphatic hydrocarbons such as ethane, propane, butane, pentane, hexane, 5 heptane, octane, nonane and decane; branched aliphatic hydrocarbons such as 2-methylpropane, 2-methylbutane, 2,3,3-trimethylpentane and 2,2,5-trimethylhexane; alicyclic hydrocarbons such cyclohexane, methylcyclohexane and ethylcyclohexane; paraffin oils purified from petroleum 10 fractions by hydrogenation; and the like. Among these, toluene-containing mixed solvents are preferred in view of safety to the environment and polymerization physical properties. Primary and/or secondary monohalogenated hydrocarbons containing 3 to 8 carbon atoms are also preferably 15 used. As specific examples of such that can be used are 1-chloropropane, 1-chloro-2-methylpropane, 1-chlorobutane, 1-chloro-2-methylbutane, 1-chloro-3-methylbutane, 1-chloro-2,2-dimethylbutane, 1-chloro-3,3-dimethylbutane, 1-chloro-2,3-dimethylbutane, 1-chloropentane, 1-chloropentane, 1- 20 chloro-2-methylpentane, 1-chloro-3-methylpentane, 1-chloro-4-methylpentane, 1-chlorohexane, 1-chloro-2-methylhexane, 1-chloro-3-methylhexane, 1-chloro-4-methylhexane, 1-chloro-5-methylhexane, 1-chloroheptane, 1-chlorooctane, 2-chloropropane, 2-chlorobutane, 2-chloropentane, 2- 25 chloropentane, 2-chlorohexane, 2-chloroheptane, 2-chlorooctane and chlorobenzene. These may be used singly or two or more of them may be used in combination. Among them, 1-chlorobutane is preferred from the viewpoint of equilibrium among solubility of isobutylene-derived block copolymers, ease 30 of decomposition for rendering the same nonhazardous, cost and other factors.

These solvents are used singly or in combination considering the balance between the polymerization characteristics of the monomers constituting the block 35 copolymer and the solubility of the product polymer, for

instance. The amount of solvent to be used is selected, considering the viscosity of the polymer solution to be obtained and the ease of removal of heat, so that the polymer concentration may be 1 to 50% by weight, preferably 5 to 35% by weight.

In practicing the polymerization, the respective components are mixed up with cooling, for example at a temperature of -100°C to not higher than 0°C . A particularly preferred temperature range for attaining a balance between the cost of energy and the stability of polymerization is -30°C to -80°C .

<Production of multiblock copolymers by living cationic polymerization>

In cases where, in the living cationic polymerization system of the present invention, the polymer (I) has a group capable of serving as an initiator for living cationic polymerization, then the product block copolymer can be a multiblock copolymer. The group which can serve as an initiator group for living cationic polymerization in said polymer (I) is not restricted but is preferably one represented by the general formula 2:



(wherein Ar is an aryl group, which may have a substituent, R^2 is a hydrogen atom or a hydrocarbon group having 1 to 20 carbon atoms and X is chlorine, bromine or iodine).

<Addition of polymer (I) to the living cationic polymerization system>

The time for adding the polymer (I) to the living cationic polymerization system is not particularly restricted but is preferably in the terminal stage of polymerization. According to the production method employed, the polymer (I) may have a cationically active terminal halogen group. For example, there can be mentioned the case with the atom transfer radical

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number of growing termini in living cationic polymerization be equal to the number of alkenyl groups in the polymer (I) to which said growing termini are to add. When the polymer (I) having an initiator group for living cationic polymerization is used as an initiator from the beginning, both numbers are in principle equal to that of each other, as already mentioned hereinabove. When another initiator is used, however, the number of growing termini becomes greater by the number of molecules of said initiator. It is therefore desirable to adjust the proportion thereof according to the desired multiblock copolymer.

Production of polymer (I)

In the following, the method of producing the alkenyl-containing polymer (I) to be used in accordance with the present invention is described.

<Outline of the method of polymerizing the polymer (I)>

The polymerization method for producing the polymer (I) is not particularly restricted. Said polymer can be synthesized by various techniques of polymerization such as anionic polymerization, cationic polymerization, radical polymerization, coordination polymerization, group transfer polymerization, condensation polymerization and ring opening polymerization. Among them, living polymerization, such as living anionic polymerization, living cationic polymerization and living radical polymerization, are preferred since it is preferred that the molecular weight and molecular weight distribution be controlled. Among these, living cationic polymerization and living radical polymerization are preferred, living radical polymerization is more preferred, and atom transfer radical polymerization is particularly preferred, but without any limitative meaning.

<Outline of the main chain of polymer (I)>

The main chain of polymer (I) according to the present invention is not particularly restricted but includes polyester polymers, polyether polymers, vinyl polymers, (meth)acrylic polymers, polysiloxane polymers, hydrocarbon polymers, polycarbonate polymers, polyarylate polymers, diallyl phthalate polymers, polyamide polymers and polyimide polymers, among others.

<Outline of terminal functional group introduction>

The alkenyl group introduction into the polymer can be effected by various methods that have so far been proposed. These methods can be roughly classified into two groups; in one group, the alkenyl group introduction is carried out after polymerization and, in the other, the alkenyl group introduction is carried out during polymerization. In cases where the alkenyl group introduction is effected after polymerization, an alkenyl group can be introduced, for example, into a terminus, the main chain or a side chain of an organic polymer having a functional group, such as a hydroxyl or alkoxide group, at a terminus, in the main chain or in a side chain by reacting said polymer with an organic alkenyl-containing compound having an active group reactive with said functional group. As examples of said organic alkenyl-containing compound having an active group reactive with the above-mentioned functional group, there may be mentioned unsaturated fatty acids having 3 to 20 carbon atoms, acid halides, acid anhydrides, such as acrylic acid, methacrylic acid, vinylacetic acid, acryloyl chloride and acryloyl bromide; unsaturated fatty acid-substituted carbonic acid halides having 3 to 20 carbon atoms such as allyl chloroformate ($\text{CH}_2=\text{CHCH}_2\text{OCOC}\text{l}$) and allyl bromoformate ($\text{CH}_2=\text{CHCH}_2\text{OCOB}\text{r}$); allyl chloride, allyl bromide, vinyl(chloromethyl)benzene, allyl(chloromethyl)benzene, vinyl(bromomethyl)benzene, allyl(bromomethyl)benzene, allyl chloromethyl ether, allyl(chloromethoxy)benzene, 1-butenyl chloromethyl ether,

1-hexenyl(chloromethoxy)benzene,
allyloxy(chloromethyl)benzene and the like.

For effecting alkenyl group introduction during polymerization, alkenyl group introduction into the main chain of a polymer or at a terminus thereof can be realized, for example, by using, in the polymerization by radical polymerization, a vinyl monomer having an alkenyl group low in radical reactivity in the molecule, such as allyl methacrylate or allyl acrylate, or a radical chain transfer agent having an alkenyl group low in radical reactivity such as allyl mercaptan.

<Production of polymer (I) by controlled radical polymerization>

The production of polymer (I) by controlled radical
15 polymerization is now described in the following.

The controlled radical polymerization itself has already been described hereinabove in relation to living radical polymerization. Therefore, the method of alkenyl group introduction and the method of introducing an initiator group for atom transfer radical polymerization are described here.

<Terminal alkenyl group introduction>

Various techniques so far proposed can be used for introducing an akenyl group, preferably a group of the general formula 1, into a polymer. Specific examples are given below under [A] to [C] mainly in relation to vinyl polymers producible by atom transfer radical polymerization. These, however, have no limitative meaning. As for other polymers, they can be synthesized by generally known methods, and the hydroxyl conversion method, for instance, among the methods mentioned below can also be utilized.

[A] Method comprising introducing an alkenyl group directly into the polymer main chain on the occasion of synthesizing a vinyl polymer by radical polymerization.

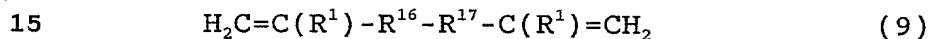
35 [B] Method comprising using a vinyl polymer having at least

one halogen atom and convering this halogen atom to an alkenyl-containing functional group.

[C] Method comprising using a vinyl polymer having at least one hydroxyl group and converting this hydroxyl group to an alkenyl-containing functional group.

The above synthesis method [A] for introducing an alkenyl group directly into the polymer main chain is not particularly restricted but, specifically, there may be mentioned the following methods [A-a] and [A-b], among others.

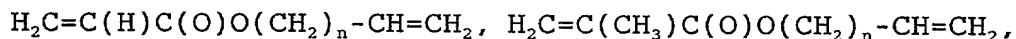
[A-a] Method comprising subjecting, in synthesizing a vinyl polymer by living radical polymerization, a compound having a polymerizable alkenyl group and an alkenyl group low in polymerizability in one and the same molecule as represented by the general formula 9:



(wherein R^1 is as defined above and the two of them may be the same or different, R^{16} represents $-\text{C}(\text{O})\text{O}-$ (ester group) or $o-$, $m-$ or p -phenylene group and R^{17} represents a direct bond or a divalent organic group having 1 to 20 carbon atoms, which may optionally have one or more ether bonds; when R^{16} is an ester group, said compound is a (meth)acrylate compound and, when R^{16} is a phenylene group, said compound is a styrenic compound), to reaction, together with a predetermined vinyl monomer.

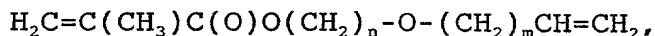
The group R^{17} in the above general formula 9 is not particularly restricted but includes, among others, alkylene groups such as methylene, ethylene and propylene; $o-$, $m-$ or p -phenylene group; aralkyl groups such as benzyl; and alkylene groups containing an ether bond, such as $-\text{CH}_2\text{CH}_2-\text{O}-\text{CH}_2-$ and $-\text{O}-\text{CH}_2-$.

Among the compounds represented by the general formula 9, the following compounds are preferred because of their ready availability.

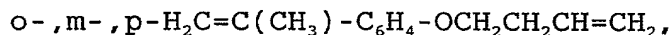
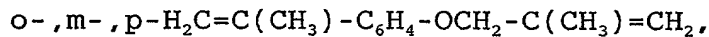
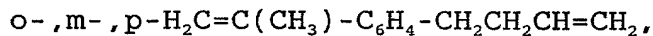
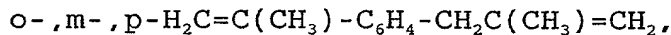
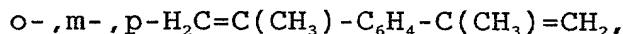
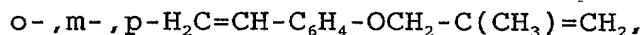
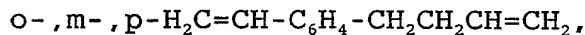


(wherein, in each formula, n represents an integer of 0 to 2

0);



5 o-, m-, p-divinylbenzene, o-, m-, p- $\text{H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}=\text{CH}_2$,



The time for subjecting said compound having a

25 [A-b] Method comprising subjecting a compound having at least two alkenyl groups low in polymerizability as the second monomer to reaction at the terminal stage of polymerization reaction or after completion of the reaction of the predetermined monomer in vinyl polymer synthesis by living radical polymerization.

$$\text{H}_2\text{C}=\text{C}(\text{R}^1)-\text{R}^{18}-\text{C}(\text{R}^1)=\text{CH}_2 \quad (10)$$

wherein R¹ is as defined above and the two of them groups may
35 be the same or different and R¹⁸ represents a divalent organic

be the same or different and R^{18} represents a divalent organic group having 1 to 20 carbon atoms which may optionally contain one or more ether bonds.

The compound of the above general formula 10 is not particularly restricted but is preferably a 1,5-hexadiene, 1,7-octadiene or 1,9-decadiene for reasons of ready availability.

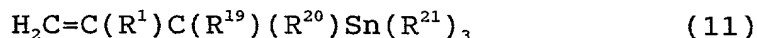
As regards the above method [A] for synthesizing a vinyl polymer having at least one alkenyl group by introducing the alkenyl group directly into the polymer main chain, the method [A-b] is preferred since it is more easy to control the number of alkenyl groups to be introduced per polymer molecule.

In the above method [B] for synthesizing a vinyl polymer having a terminal halogen, the atom transfer radical polymerization method is preferably used. The method of substituting the halogen in said polymer to an alkenyl-containing functional group is not particularly restricted but includes, among others, the techniques [B-a] to [B-d] specifically mentioned below.

[B-a] Method comprising substituting the halogen by reacting a terminal halogen-containing vinyl polymer with one of various alkenyl-containing organometallic compounds.

As such organometallic compounds, there may be mentioned organolithium, organosodium, organopotassium, organomagnesium, organotin, organosilicon, organozinc and organocopper compounds, among others. In particular, organotin and organocopper compounds are preferred, since they react selectively with the halogen at the growing terminus in atom transfer radical polymerization but are low in reactivity with the carbonyl group.

Preferred as the alkenyl-containing organotin compound, which is not particularly restricted, are compounds represented by the general formula 11:

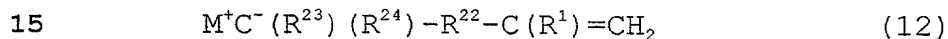


(wherein R^1 is as defined above, R^{19} and R^{20} may be the same or

different and each represents a hydrogen atom or an alkyl group having 1 to 10 carbon atoms, an aryl group having 6 to 10 carbon atoms or an aralkyl group having 7 to 10 carbon atoms and R^{21} represents an alkyl group having 1 to 10 carbon atoms, an aryl group or an aralkyl group).

Specific examples of the organotin compound of the general formula 11 are allyltributyltin, allyltrimethyltin, allyltri(n-octyl)tin, allyltri(cyclohexyl)tin and the like. As the alkenyl-containing organocopper compound, there may be mentioned divinylcopper lithium, diallylcopper lithium, diisopropenylcopper lithium and the like.

[B-b] Method comprising reacting a halogen-terminated vinyl polymer with an alkenyl-containing stabilized carbanion represented by the general formula 12, for instance:



(wherein R^1 is as defined above, R^{22} represents a divalent organic group having 1 to 20 carbon atoms, which may optionally containing one or more ether groups, R^{23} and R^{24} each is an electron-withdrawing group contributing to stabilize the carbanion C^- or one of them is said electron-withdrawing group and the other is a hydrogen atom or an alkyl group having 1 to 10 carbon atoms or a phenyl group. As the electron-withdrawing group represented by R^{23} and R^{24} , there may be mentioned $-CO_2R$ (ester group), $-C(O)R$ (keto group), $-CON(R)_2$ (amide group), $-COSR$ (thioester group), $-CN$ (nitrile group) and $-NO_2$ (nitro group), among others. The substituent R is an alkyl group having 1 to 20 carbon atoms, an aryl group having 6 to 20 carbon atoms or an aralkyl group having 7 to 20 carbon atoms, preferably an alkyl group having 1 to 10 carbon atoms or a phenyl group. Particularly preferred as R^{23} and R^{24} are $-CO_2R$, $-C(O)R$ and $-CN$. M^+ represents an alkali metal ion or a quaternary ammonium ion).

As the alkali metal ion, there may specifically be mentioned lithium ion, sodium ion and potassium ion and, as the quaternary ammonium ion, there may specifically be mentioned

tetramethylammonium ion, tetraethylammonium ion, trimethylbenzylammonium ion, trimethyldodecylammonium ion and tetrabutylammonium ion, among others.

The carbanion of the above general formula 12 can be obtained by reacting a precursor thereof with a basic compound and thereby withdrawing an active carbon.

As example of the compound to serve as the precursor of the carbanion of the general formula 12, there may be mentioned the following:

- 10 $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{CO}_2\text{CH}_3)_2$, $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{CO}_2\text{C}_2\text{H}_5)_2$,
 $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{CH}(\text{CO}_2\text{CH}_3)_2$, $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{CH}(\text{CO}_2\text{C}_2\text{H}_5)_2$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}(\text{CO}_2\text{CH}_3)_2$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}(\text{CO}_2\text{C}_2\text{H}_5)_2$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}(\text{CO}_2\text{CH}_3)_2$,
- 15 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}(\text{CO}_2\text{C}_2\text{H}_5)_2$,
 $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{C}(\text{O})\text{CH}_3)(\text{CO}_2\text{C}_2\text{H}_5)$, $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{CH}(\text{C}(\text{O})\text{CH}_3)(\text{CO}_2\text{C}_2\text{H}_5)$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}(\text{C}(\text{O})\text{CH}_3)(\text{CO}_2\text{C}_2\text{H}_5)$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}(\text{C}(\text{O})\text{CH}_3)(\text{CO}_2\text{C}_2\text{H}_5)$,
 $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{C}(\text{O})\text{CH}_3)_2$, $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{CH}(\text{C}(\text{O})\text{CH}_3)_2$,
- 20 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}(\text{C}(\text{O})\text{CH}_3)_2$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}(\text{C}(\text{O})\text{CH}_3)_2$,
 $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{CN})(\text{CO}_2\text{C}_2\text{H}_5)$, $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{CH}(\text{CN})(\text{CO}_2\text{C}_2\text{H}_5)$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}(\text{CN})(\text{CO}_2\text{C}_2\text{H}_5)$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}(\text{CN})(\text{CO}_2\text{C}_2\text{H}_5)$, $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{CN})_2$,
- 25 $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{CH}(\text{CN})_2$, $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}(\text{CN})_2$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}(\text{CN})_2$, $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{NO}_2$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{NO}_2$, $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}_2\text{NO}_2$,
 $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{C}_6\text{H}_5)(\text{CO}_2\text{C}_2\text{H}_5)$, $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n\text{CH}(\text{C}_6\text{H}_5)(\text{CO}_2\text{C}_2\text{H}_5)$,
 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}(\text{C}_6\text{H}_5)(\text{CO}_2\text{C}_2\text{H}_5)$,
- 30 $\text{o-,m-,p-H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2\text{CH}(\text{C}_6\text{H}_5)(\text{CO}_2\text{C}_2\text{H}_5)$,

in the above formulas, n represents an integer of 1 to 10.

For preparing the carbanion of the general formula 12 by withdrawing proton from the above compound, various basic compounds can be used. As examples of such basic compounds,

there may be mentioned the following compounds:

Alkali metals such as sodium, potassium and lithium;
metal alkoxides such as sodium methoxide, potassium methoxide,
lithium methoxide, sodium ethoxide, potassium ethoxide,
5 lithium ethoxide, sodium tert-butoxide and potassium tert-
butoxide; carbonates such as sodium carbonate, potassium
carbonate, lithium carbonate and sodium hydrogencarbonate;
hydroxides such as sodium hydroxide and potassium hydroxide;
hydrides such as sodium hydride, potassium hydride,
10 methyllithium and ethyllithium; organometals such as n-
butyllithium, tert-butyllithium, lithium diisopropylamide and
lithium hexamethyldisilazide; alkylamines such as
trimethylamine, triethylamine and tributylamine; polyamines
such as tetramethylethylenediamine and
15 pentamethyldiethylenetriamine; pyridine compounds such as
pyridine and picoline; and so forth.

The basic compound is used in an equivalent amount or in
slight excess, preferably 1 to 1.2 equivalent, relative to the
precursor substance.

20 A quaternary ammonium salt can also be used as said
carbanion. In that case, it can be obtained by preparing a
carboxylic acid alkali metal salt and reacting this with a
quaternary ammonium halide. Examples of the quaternary
ammonium halide are tetramethylammonium halides,
25 tetraethylammonium halides, trimethylbenzylammonium halides,
trimethyldodecylammonium halides and tetrabutylammonium
halides, among others.

As the solvent to be used in reacting the above precursor
compound with the basic compound, there may be mentioned, among
30 others, hydrocarbon solvents such as benzene and toluene; ether
solvents such as diethyl ether, tetrahydrofuran, diphenyl ether,
anisole and dimethoxybenzene; halogenated hydrocarbon
solvents such as methylene chloride and chloroform; ketone
solvents such as acetone, methyl ethyl ketone and methyl
35 isobutyl ketone; alcohol solvents such as methanol, ethanol,

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propanol, isopropanol, n-butyl alcohol and t-butyl alcohol; nitrile solvents such as acetonitrile, propionitrile and benzonitrile; ester solvents such as ethyl acetate and butyl acetate; carbonate solvents such as ethylene carbonate and propylene carbonate; amide solvents such as dimethylformamide and dimethylacetamide; and sulfoxide solvents such as dimethyl sulfoxide. These may be used singly or two or more of them may be used in admixture.

The carbanion represented by the general formula 12 as prepared by reacting the above precursor with the basic compound is reacted with a halogen-terminated vinyl polymer, whereby an alkenyl-terminated vinyl polymer can be obtained.

[B-c] Method comprising reacting a halogen-terminated vinyl polymer with an elementary metal or an organometallic compound to convert the former to an enolate anion, followed by reaction with an alkenyl-containing electrophilic compound.

Particularly preferred as the elementary metal is zinc, since it hardly causes such a side reaction as the attack of the resulting enolate anion against other ester groups or the transition thereof. Usable as the alkenyl-containing electrophilic compound are various ones such as, for example, alkenyl-containing compounds having a leaving group such as a halogen atom or an acetyl group, alkenyl-containing carbonyl compounds, alkenyl-containing isocyanate compounds and alkenyl-containing acid halides. Among these, alkenyl-containing compounds having a leaving group such as a halogen atom or an acetyl group are preferred since the use thereof does not allow introduction of atoms other than a carbon atom into the main chain, hence the weathering resistance of the vinyl polymer is not lost.

[B-d] Method comprising reacting a halogen-terminated vinyl polymer with an alkenyl-containing oxy anion represented by the general formula 13 shown below or an alkenyl-containing carboxylate anion represented by the general formula 14 given below, to thereby cause substitution of the alkenyl-containing

$$\text{CH}_2=\text{C}(\text{R}^1)-\text{R}^{22}-\text{O}^-\text{M}^+ \quad (13)$$
$$\text{CH}_2=\text{C}(\text{R}^1)-\text{R}^{22}-\text{C}(\text{O})\text{O}^-\text{M}^+ \quad (14)$$

As the precursor compounds of the oxy anions represented by the general formulas 13 and 14, there may be mentioned the following compounds, among others:

10 $\text{H}_2\text{C}=\text{CH}-\text{CH}_2-\text{OH}$, $\text{H}_2\text{C}=\text{CH}-\text{CH}(\text{CH}_3)-\text{OH}$, $\text{H}_2\text{C}=\text{C}(\text{CH}_3)-\text{CH}_2-\text{OH}$,
 $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n-\text{OH}$ (n represents an integer 2 to 20),
 $\text{H}_2\text{C}=\text{CH}-\text{CH}_2-\text{O}-(\text{CH}_2)_2-\text{OH}$, $\text{H}_2\text{C}=\text{CH}-\text{C}(\text{O})\text{O}-(\text{CH}_2)_2-\text{OH}$,
 $\text{H}_2\text{C}=\text{C}(\text{CH}_3)-\text{C}(\text{O})\text{O}-(\text{CH}_2)_2-\text{OH}$, o-, m-, p- $\text{H}_2\text{C}=\text{CH}-\text{C}_6\text{H}_4-\text{CH}_2-\text{OH}$,
o-, m-, p- $\text{H}_2\text{C}=\text{CH}-\text{CH}_2-\text{C}_6\text{H}_4-\text{CH}_2-\text{OH}$,

phenolic hydroxyl-containing compounds such as

o-, m-, p-H₂C=CH-CH₂-O-C₆H₄-OH and the like;

20 $\text{H}_2\text{C}=\text{C}(\text{CH}_3)-\text{C}(\text{O})-\text{OH}$, $\text{H}_2\text{C}=\text{CH}-\text{CH}_2-\text{C}(\text{O})-\text{OH}$, $\text{H}_2\text{C}=\text{CH}-(\text{CH}_2)_n-\text{C}(\text{O})-\text{OH}$
(n represents an integer 2 to 20),

o-, m-, p-H₂C=CH-C₆H₄-C(O)-OH, o-, m-, p-H₂C=CH-CH₂-C₆H₄-C(O)-OH,

o-, m-, p-H₂C=CH-(CH₂)_n-OC(O)-C₆H₄-C(O)-OH (n represents an integer 0 to 13) and the like.

Various basic compounds are used to withdraw a proton from the above compounds and convert it to an anion of the above
30 general formula 13 or 14. Those basic compounds specifically mentioned hereinabove as useful in preparing the carbanion of the general formula 12 are all usable as the above basic compounds. As regards the reaction solvent, all those specifically mentioned hereinabove in relation to carbanion
35 preparation are suited for use.

Among the above synthesis methods [B], the method [B-d] is preferred for alkenyl group introduction by converting the halogen in a halogen-terminated vinyl polymer obtained by atom transfer radical polymerization using an organic halide or halogenated sulfonyl compound or the like as an initiator and a transition metal complex as a catalyst to an alkenyl group, since the alkenyl group introduction can be realized with a high proportion. Among the variations of method [B-d], the one comprising subjecting an alkenyl-containing carboxylate anion represented by the general formula 14 to reaction is more preferred.

When, in the method of producing a vinyl monomer which comprises the atom transfer radical polymerization method using an organic halide or halogenated sulfonyl compound or the like as an initiator and a transition metal complex as a catalyst, the alkenyl-containing organic halide is used as an initiator, a vinyl polymer having a structure such that the alkenyl group occurs at one terminal and the initiator group for atom transfer radical polymerization at the other terminus can be obtained. When the halogen atom at the termination terminus of the polymer thus obtained is converted to an alkenyl-containing substituent, a vinyl polymer having an alkenyl group at both ends can be obtained. Usable as the method for said conversion is the method already described hereinabove.

Detailed mention of the alkenyl-containing organic halide will be made later herein in relation to the explanation of atom transfer radical polymerization.

The method of substituting an alkenyl-containing functional group for the hydroxyl group of a hydroxyl-terminated vinyl polymer according to the synthesis method [C] mentioned above is not particularly restricted but there may be mentioned those specific methods [C-a] to [C-d] which are to be mentioned below.

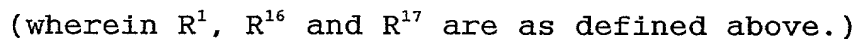
The above hydroxyl-terminated vinyl polymer can be obtained by the methods [D-a] to [D-f] to be mentioned later

[C-a] Method comprising reacting the hydroxyl group of a hydroxyl-terminated vinyl polymer with a base such as sodium hydroxide or sodium methoxide and then reacting the resulting product with an alkenyl-containing halide such as allyl chloride.

[C-c] Method comprising reacting a hydroxyl-terminated vinyl polymer with an alkenyl-containing acid halide, such as (meth)acryloyl chloride, in the presence of a base such as pyridine.

The method of producing the hydroxyl-terminated vinyl polymer to be used for the above method [C] includes, but is not limited to, such methods as mentioned below under [D-a] to [D-f].

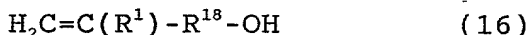
[D-a] Method comprising subjecting a compound having both a polymerizable alkenyl group and a hydroxyl group in one and the same molecule as represented by the general formula 15 shown below to reaction as a second monomer on the occasion of synthesizing a vinyl polymer by living radical polymerization:



[D-b] Method comprising subjecting a compound having an

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for example, compounds represented by the general formula 16:



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Said compounds of the general formula 16 are not particularly restricted but, from the viewpoint of ready availability, such alkenyl alcohols as 10-undecenol, 5-hexenol and allyl alcohol are preferred.

15

[D-d] Method comprising reacting a vinyl polymer having a terminal carbon-halogen bond as obtained by atom transfer radical polymerization with a hydroxyl-containing stabilized carbanion represented by the general formula 17:



(wherein R^{22} , R^{23} and R^{24} are as defined above), to thereby effect substitution for the halogen.

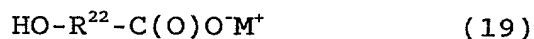
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carboxylate anion represented by the general formula 19 shown below to thereby substitute the corresponding hydroxyl-containing substituent for the halogen:



5 (wherein R^1 , R^{22} and M^+ are as defined above);



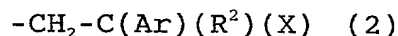
(wherein R^1 , R^{22} and M^+ are as defined above).

In cases where, in the practice of the present invention, the hydroxyl introduction is free of direct halogen involvement, such as in [D-a] and [D-b], the method [D-b] is more preferred since the control is easier.

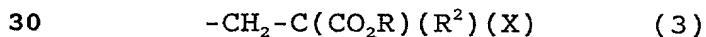
In cases where the hydroxyl group introduction is effected by converting the halogen atom of a vinyl polymer having at least one carbon-halogen bond, such as in [D-c] to [D-f], the method [D-f] is more preferred since the control is easier.

<Introduction of an initiator group for atom transfer radical polymerization>

20 The initiator group for atom transfer radical polymerization has the structure of the initiator mentioned in detail hereinabove in the description of atom transfer radical polymerization and is preferably a group represented by the general formula 2 or 3, a benzyl halide group or a sulfonyl halide group, for instance:



(wherein Ar is an aryl group, which may optionally have a substituent, R^2 is a hydrogen atom or a hydrocarbon group having 1 to 20 carbon atoms and X is chlorine, bromine or iodine);



(wherein Ar is an aryl group, which may optionally have a substituent, R^2 is a hydrogen atom or a methyl group, R is an organic group having 1 to 20 carbon atoms and X is chlorine, bromine or iodine).

35 When the polymer (I) is produced by atom transfer radical

polymerization, the terminus thereof is an initiator group for atom transfer radical polymerization. The group represented by the general formula 2 or 3 is preferably obtained as a growing terminus when a styrenic or acrylic monomer is polymerized by atom transfer radical polymerization. When this initiator group is subjected to conversion for alkenyl group introduction, as mentioned hereinabove, there can be mentioned the method comprising producing a polymer having a growth terminus at both ends by using a bifunctional initiator, for instance, and converting only one terminus to an alkenyl group.

As another method, there may be mentioned the method of introducing a compound having an initiator group for atom transfer radical polymerization and an alkenyl group, or a compound having an initiator group for atom transfer radical polymerization and a hydrosilyl group into various hydrosilyl- or alkenyl-containing polymers by hydrosilylation (refer to Polymer, 39 (21), 5163 (1998)).

Although the method of producing the above-mentioned polymer (I) is not particularly restricted, a preferred method comprises conducting atom transfer radical polymerization using a functional group-containing initiator and using the product as it is when the functional group is an alkenyl group and, when the functional group is other than an alkenyl group, converting said group to an alkenyl group. As an example, the method described in Polymer J., 30, 138 (1998) may be mentioned.

More specifically, the use of an allyl halide is preferred.

It is also possible to use the group represented by the general formula 2 as an initiator group for living cationic polymerization, and the polymer produced by the above-mentioned production method may be utilized for producing a multiblock copolymer by adding the polymer (I) having an initiator group for living cationic polymerization to a living cationic polymerization system.

The production of the polymer (I) by living cationic polymerization is mentioned below.

The living cationic polymerization itself has already
5 been described hereinabove under the section "living cationic
polymerization. Therefore, the method of alkenyl group
introduction and the method of introducing an initiator group
for living cationic introduction are described here.

The polymer (I) to be produced by living cationic
10 polymerization is preferably selected from the group consisting
of styrene polymers, isobutylene polymers, polyether polymers
and vinyl ether polymers.

<Terminal functional group introduction>

15 The method of introducing an alkenyl group into the polymer produced by living cationic polymerization is not particularly restricted but includes the following, for instance:

① Method using an allylsilane

20 As disclosed in Japanese Kokai Publication Sho-63-105005, the polymer obtained by the Inifer method for living cationic polymerization is reacted, immediately after polymerization or after formation, with allyltrimethylsilane to give an allyl-terminated polymer.

25 ② Method using an unconjugated diene

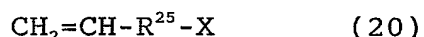
As disclosed in Japanese Kokai Publication Hei-04-288309, an unconjugated diene, such as 1,7-octadiene, is added to an Inifer method living cationic polymerization system to give an allyl-terminated polymer.

30 ③ Method using an organometallic reagent

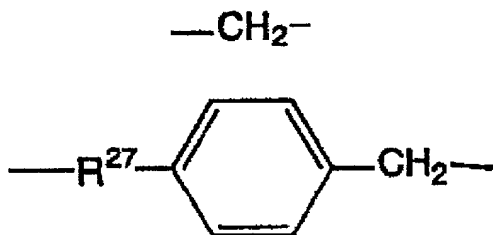
As disclosed in Japanese Kokai Publication Hei-04-311705, the chlorine atom terminus of a polyisobutylene polymer is alkylated with a Grignard reagent or an alkyllithium. The use of an alkenyl-containing Grignard reagent results in
35 introduction of the alkenyl group.

④ Method comprising hydroxyl group conversion

The hydroxyl group at a terminus, in the main chain or in a side chain is converted to -ONa or -OK, for instance, followed by reaction with an organic halide represented by the general formula 20:



[wherein X is a halogen atom such as a chlorine or iodine atom and R^{25} is a divalent organic group represented by $-\text{R}^{26}-$, $-\text{R}^{26}-\text{OC}(=\text{O})-$ or $-\text{R}^{26}-\text{C}(=\text{O})-$ (in which R^{26} is a divalent hydrocarbon group having 1 to 20 carbon atoms, preferably an alkylene, cycloalkylene, arylene or aralkylene group), more preferably a divalent group selected from the group consisting of $-\text{CH}_2-$ and



(in which R^{27} is a hydrocarbon group having 1 to 10 carbon atoms)], whereby an alkenyl-terminated saturated hydrocarbon polymer is produced.

As the method of converting the terminal hydroxyl group of a hydroxyl-terminated saturated hydrocarbon polymer to an oxymetal group, there may be mentioned the method comprising reacting said polymer with an alkali metal such as Na or K; a metal hydride such as NaH; a metal alkoxide such as NaOCH_3 ; a caustic alkali such as caustic soda or caustic potash or the like.

The above method gives an alkenyl-terminated saturated hydrocarbon polymer having almost the same molecular weight as that of the hydroxyl-terminated saturated hydrocarbon polymer used as starting material. When a polymer with a higher molecular weight is desired, the starting material is reacted

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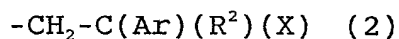
cationic polymerization terminus to effect introduction of a methacryloyl group.

Among these methods, ① and ② are preferred, though not essentially requisite.

5

<Introduction of an initiator group for living cationic polymerization>

The initiator group for living cationic polymerization has the structure of the initiator mentioned in detail hereinabove in the description of living cationic polymerization and is preferably, but is not limited to, a group represented by the general formula 2, among others:



(wherein Ar is an aryl group, which may optionally have a substituent, R^2 is a hydrogen atom or a hydrocarbon group having 1 to 10 carbon atoms and X is chlorine, bromine or iodine).

The method of introducing this initiator group for living cationic polymerization is not particularly restricted but mention may be made of the utilization of the terminus of the polymer produced by living cationic polymerization, the method comprising the above-mentioned atom transfer radical polymerization, and the method comprising converting a functional group of a polymer by such a reaction as hydrosilylation, for initiator group introduction, among others.

Structure of the block copolymer

The structure of the block copolymer of the present invention is not particularly restricted but includes those copolymers classifiable on the basis of polymer (I) and of the system to which the polymer (I) is added, namely living radical polymerization or living cationic polymerization (hereinafter referred to as "living C/R polymerization" for short), as follows:

① living C/R polymerization using a polymer (I) having one

alkenyl group per molecule and a unifunctional initiator; ② living C/R polymerization using a polymer (I) having one alkenyl group per molecule and a bifunctional initiator; ③ living C/R polymerization using a polymer (I) having one alkenyl group per molecule and a polyfunctional initiator; ④ living C/R polymerization using a polymer (I) having two alkenyl groups per molecule and a unifunctional initiator; ⑤ living C/R polymerization using a polymer (I) having more than two alkenyl groups per molecule and a unifunctional initiator; ⑥ living C/R polymerization using a polymer (I) having two alkenyl groups per molecule and a bifunctional initiator; ⑦ the case in which either of the number of alkenyl groups in polymer (I) and the number of initiation sites of the initiator in living C/R polymerization is not less than 2 and the other is not less than 3; and so forth.

In the case of ①, an AB type block copolymer is obtained; in the case of ② or ④, an ABA type block copolymer is obtained; in the case of ③ or ⑤, a stellar block copolymer is obtained; in the case of ⑥, a multiblock copolymer is obtained; and in the case of ⑦, a crosslinked block copolymer is obtained.

A multiblock copolymer can also be obtained when a polymer (I) having an initiator group for living radical polymerization or living cationic polymerization is used.

For each polymer block, various species can be synthesized. It is preferred, though not requisite, that, in the polymer produced according to the present invention, the polymer (I) have a glass transition point of not lower than 25°C and the polymer chain newly produced by living C/R polymerization upon addition of the polymer (I) have a glass transition point of not higher than 25°C or that the polymer (I) have a glass transition point of not higher than 25°C and the polymer chain newly produced by living C/R polymerization upon addition of the polymer (I) have a glass transition point of not lower than 25°C. In an ABA type block copolymer, for instance, when A is a polymer having a higher glass transition

point (not specifically restricted but, for example, not lower than 25°C) and B is a polymer having a lower glass transition point (not specifically restricted but, for example, not higher than 25°C), properties as a thermoplastic elastomer can be expected. In the case of stellar polymers as well, properties as a thermoplastic elastomer can be expected when a polymer block having a higher glass transition point is used as the exterior block and a polymer block having a lower glass transition point as the inside block.

Uses

<Thermoplastic elastomer>

The block copolymer of the present invention can be used in substantially the same fields of application as the existing thermoplastic elastomers. More specifically, it can be used for modifying resins or asphalt, for preparing compounds of the block copolymer with resins (with a plasticizer, a filler, a stabilizer, etc. added as occasion demands), as an antishrink agent for thermosetting resins, or as a base polymer for adhesives or pressure-sensitive adhesives or for damping materials. As specific fields of application, there may be mentioned automotive upholstery and exterior, electric and electronic fields, food wrapping films and tubes, drug containers or containers for medical use, sealable articles and the like.

<Impact resistance improving agent>

While the block copolymer of the present invention by itself can serve as a molding material as a resin having impact resistance, it can serve as an impact resistance improving agent as well for providing various thermoplastic resins and thermosetting resins with a high level of impact resistance when admixed with said resins. Further, it can also be used as a processability improving agent, compatibilizing agent, flatting agent, heat resistance modifier or the like.

20

30

35

resistance improving agents such as methyl methacrylate-butadiene-styrene copolymers (MBS resins), acrylic graft copolymers and acrylic-silicone composite rubber type graft copolymers; stabilizers such as triphenyl phosphite;

5 lubricants such as polyethylene wax and polypropylene wax; fire retardants such as phosphate fire retardants, e.g. triphenyl phosphate, tricresyl phosphate, bromine-containing fire retardants, e.g. decarbromobiphenyl, decabromodiphenyl ether, and antimony trioxide; pigments such as titanium oxide, zinc

10 sulfide and zinc oxide; and filler such as glass fiber, asbestos, wollastonite, mica, talc and calcium carbonate.

BEST MODES FOR CARRYING OUT THE INVENTION

In the following, specific examples of the present

15 invention are described together with comparative examples. The following examples, however, are by no means limitative of the scope of the present invention.

In the examples and comparative examples, "part(s)" and "%" denote "part(s) by weight" and "% by weight", respectively.

20 In the following examples, the "number average molecular weight" and "molecular weight distribution (ratio of weight average molecular weight to number average molecular weight)" were determined by using gel permeation chromatography (GPC) using standard polystyrenes and expressed in terms of

25 polystyrene equivalent. The GPC column used was a column packed with a crosslinked polystyrene gel and the solvent used for GPC was chloroform.

(Production Example 1)

30 A 500-mL three-necked flask equipped with a reflux condenser and a stirrer was charged with CuBr (3.44 g, 0.024 mol) and 2,2'-bipyridyl (11.2 g, 0.072 mol), the container was purged with nitrogen and, then, diphenyl ether (110 mL) and styrene (110 mL, 0.96 mol) were added. The contents were heated

35 to 100°C, allyl bromide (2.08 mL, 0.024 mol) was added, and the

The reaction mixture was diluted with 200 mL of toluene and the dilution was passed through an activated alumina column. The polymer was purified by repeated precipitation from methanol and then dried with heating under reduced pressure. The polymer had a number average molecular weight of 3360 with a molecular weight distribution of 1.23. It was confirmed by ¹H NMR that the number of alkenyl groups at one end and the number of bromine groups at the other were identical.

The procedure of Production Example 1 was followed in the same manner except that CuCl (2.38 g, 0.024 mol) was used in lieu of CuBr and allyl chloride (1.31 mL, 0.024 mol) in lieu of allyl bromide and that the reaction temperature was 130°C.

(Production Example 3) Treatment of the terminal halogen group in the polymer

A 500-mL three-necked flask equipped with a reflux condenser and a stirrer was charged with CuBr (3.44 g, 0.024 mol) and 2,2'-bipyridyl (11.2 g, 0.072 mol), the container was purged with nitrogen and, then, diphenyl ether (110 mL) and styrene (110 mL, 0.96 mol) were added. The contents were heated to 100°C, allyl bromide (2.08 mL, 0.024 mol) was added, and the mixture was heated at 100°C for 7 hours with stirring, to give a polymer [2]. The reaction mixture was evaporated, the residue

was dissolved in toluene and the solution was filtered. The polymer [2] was purified by reprecipitation from methanol and drying with heating under reduced pressure.

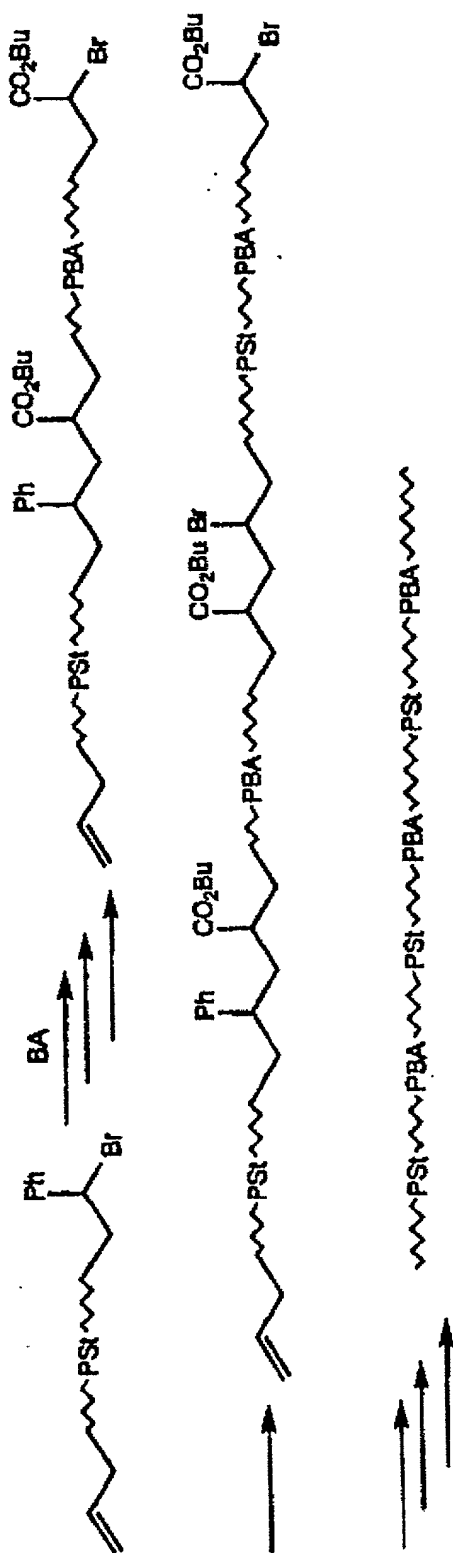
Halogen group elimination was confirmed by ^1H NMR analysis.

(Example 1) Synthesis of a polystyrene-polybutyl acrylate multiblock copolymer

A 50-mL flask was charged with CuBr (0.10 g, 0.7 mmol) and the flask was purged with nitrogen. Acetonitrile (1.0 mL) was then added, and the contents were heated to 70°C. The polymer obtained in Production Example 1 (5.86 g), butyl acrylate (10 mL) and pentamethyldiethylenetriamine (0.04 mL, 0.17 mmol) were added, and the mixture was heated at 70°C for 13 hours with stirring. The degree of conversion of butyl acrylate was 98%.

The reaction mixture was diluted with toluene and the solution was passed through an activated alumina column. The volatile matter was distilled off to give a polymer.

The polymer has a weight average molecular weight (Mw) of 46900 and GPC thereof gave a peak molecular weight (Mp) of 64500. The formation of a polystyrene-polybutyl acrylate multiblock copolymer was confirmed. The reaction scheme of this reaction is shown below.



(Example 2) Synthesis of a polystyrene-polyisobutylene
multiblock copolymer

Polymerization of isobutylene was carried out using, as an initiator, the polystyrene synthesized in Production Example 2 and having an allyl group at one terminus and a chloro group at the other. The polymerization was conducted at -70°C using methylene chloride/methylcyclohexane as a solvent, TiCl_4 as a catalyst, and α -picoline as an electron donor. At the time point when the catalyst was added, heat of polymerization was observed, and the final conversion of isobutylene monomer was 80%. Upon ^1H NMR measurement, no allyl group was observed, whereby the addition of the growing terminal cation could be confirmed.

15 (Example 3) Synthesis of a PBA-PEA multiblock form

A 30-mL glass reaction vessel was charged, in a nitrogen atmosphere, with cuprous bromide (50.0 mg, 0.348 mmol), acetonitrile (1.0 mL), butyl acrylate (10.0 mL, 69.8 mmol), diethyl 2,5-dibromoadipate (0.314 g, 0.871 mmol), an alkenyl-terminated PEA (9.85 g, 0.871 mmol, number average molecular weight 11300, molecular weight distribution 1.26, alkenyl group introduction percentage based on number average molecular weight 2.33) and pentamethyldiethylenetriamine (20 μL , 0.0958 mmol), and the mixture was stirred at 70°C for 420 minutes, whereupon the consumption of butyl acrylate as determined by GC measurement was 98%. The mixture was treated with activated alumina and the volatile matter was then distilled off by heating under reduced pressure to give a pale yellow polymer. The polymer obtained, when analyzed by GPC (in terms of polystyrene equivalent), had a number average molecular weight of 16900 and a weight average molecular weight of 37400, hence a molecular weight distribution of 2.21. It was thus confirmed that a multiblock form had been formed. ^1H NMR measurement also confirmed the formation of a multiblock form.

(Example 4) Synthesis of a polystyrene-polyisobutylene block polymer

Isobutylene was polymerized at -70°C in a nitrogen
5 atmosphere using methylene chloride/methylcyclohexane as a
solvent, bis(1-chloro-1-methylethyl)benzene as an initiator,
 TiCl_4 as a catalyst and α -picoline as an electron donor. At
the time point of addition of the catalyst, heat of
polymerization was observed. At the time point of completion
10 of the polymerization of isobutylene, the polymer [2] and a
mixed solution composed of α -picoline and methylene
chloride/methylcyclohexane were added, and the reaction was
further allowed to proceed.

While, at the time of addition of polymer [2], the number
15 average molecular weight of polyisobutylene was 27,700, the
addition of polymer [2] resulted in the formation of a high
molecular weight polymer with a number average molecular weight
of 31,000. With this high molecular weight polymer, UV
absorption due to the aromatic ring was observed. Thus, a
20 polyisobutylene-polystyrene copolymer was obtained.

(Production Example 4)

According to the method disclosed in Japanese Kokai
Publication Sho-53-134095, an allyl type olefin-terminated
25 polyoxypropylene was synthesized.

Thus, polyoxypropylene glycol with an average molecular
weight of 3,000 and powdery caustic soda were stirred at 60°C ,
then bromochloromethane was added, and the reaction was allowed
to proceed to increase the molecular weight. Then, allyl
30 chloride was added, and terminal allyl etherification was
carried out at 110°C . Terminally allyl-etherified
polyoxypropylene in purified form was synthesized by treating
the reaction product with aluminum silicate.

This polyether had a average molecular weight of 7,960
35 and, based on the iodine value, 92% of the termini were occupied

by the olefinic group (0.0231 mol/100 g). The viscosity was 130 poises (40°C) as measured using a type E viscometer.

(Production Example 5)

5 A one-liter four-necked flask equipped with stirrer, dropping funnel, thermometer, three-way cock and condenser was prepared and charged with 300 g of hydroxyl-terminated polytetramethylene oxide with an average molecular weight of about 2,000 (trademark: Terathane-2000; product of du Pont).
10 After azeotropic degassing using toluene, a solution of 50.5 g of t-BuOK in 200 mL of THF was added. After 1 hour of stirring at 50°C, 49 mL of allyl chloride was added dropwise from the dropping funnel over 1 hour. After completion of the dropping, the reaction was allowed to proceed at 50°C for about 1 hour.
15 Then, 30 g of aluminum silicate was added at room temperature and the mixture was stirred for 30 minutes. Said mixture was filtered using diatomaceous earth as filtration aid, and the volatile components were removed using an evaporator, whereupon about 230 g of a transparent viscous liquid was obtained. This
20 product was allowed to stand overnight at room temperature, whereupon it crystallized and became a white solid. Iodometry (0.0718 mol/100 g) revealed that the allyl group had been introduced into this polytetramethylene oxide at about 73% of the termini thereof.

25

(Production Example 6)

Toluene (50 mL) was added to 300 g of hydrogenated polyisoprene having a hydroxyl group at both termini (product of Idemitsu Petrochemical; trademark: Epol), and the mixture
30 was dehydrated by azeotropic degassing. A solution of 48 g of t-BuOK in 200 mL of THF was injected into the mixture. After 1 hour of reaction at 50°C, 47 mL of allyl chloride was added dropwise over about 30 minutes. After completion of the dropping, the reaction was allowed to proceed at 50°C for 1 hour.
35 Thereafter, for the adsorption of the product salt, 30 g of

aluminum silicate was added to the reaction mixture and the whole mixture was stirred at room temperature for 30 minutes. Purification by filtration gave about 250 g of allyl-terminated hydrogenated polyisoprene as a viscous liquid. 300 MHz ¹H NMR analysis confirmed the allyl group introduction at 92% of the termini. The number of moles of the olefin as determined based on the iodine value was 0.1046 mol/100 g. The viscosity was 302 poises (23°C) as measured using a type E viscometer.

* Typical physical values of Epol (from a technical data sheet)

Hydroxy content (meq/g): 0.90

Viscosity (poises/30°C): 700

Average molecular weight (VPO measurement): 2,500.

(Production Example 7)

An acrylic ester monomer solution in toluene composed of 115.72 g of n-butyl acrylate, 60.00 g of methyl methacrylate, 20.16 g of allyl methacrylate, 6.46 g of n-dodecylmercaptan, 2.0 g of azobisisobutyronitrile and 400 mL of toluene was added dropwise from a dropping funnel over about 2 hours to a flask containing 50 mL of refluxing toluene in a nitrogen atmosphere. After completion of the dropping, the reaction was further allowed to proceed for 2 hours. The reaction mixture was evaporated and the residue was further dried at 80°C under reduced pressure for 3 hours to give about 195 g of a pale-yellow viscous liquid oligomer. The number of moles of allyl group as determined by iodometry was 0.0818 mol/100 g and the molecular weight as measured by VPO was 2,950, and thus it was found that about 2.4 allyl groups, on average, had been introduced into molecule.

(Production Example 8)

A round-bottomed flask equipped with stirring rod, thermometer, dropping funnel, nitrogen inlet tube and condenser was charged with 300 g (0.1 mol) of hydroxyl-terminated polycaprolactone (number average molecular weight: 3,000,

hydroxyl equivalent: 1,500), 24.0 g of pyridine and 300 mL of THF and then 32 g of allyl chloroformate was gradually added dropwise from the dropping funnel at room temperature.

Thereafter, the mixture was heated to 50°C and stirred for 3 hours. The salt formed was filtered off, 150 mL of toluene was added to the filtrate, the mixture was washed with 200 mL of aqueous hydrochloric acid, then neutralized and concentrated to give allyl-terminated polycaprolactone. The oligomer had a number average molecular weight of 3,200 as determined by VPO measurement. From the olefin portion of a 300 MHz ¹H NMR spectrum, allyl group introduction could be confirmed. By olefin quantitation by iodometry, it was confirmed that about 1.83 allyl-type unsaturated groups (0.0573 mol/100 g), on average, had been introduced into each molecule.

(Production Example 9)

An autoclave was charged with a solution of 0.02 g of zinc hexacyanocobaltate-glyme complex and 1.0 g of dipropylene glycol in THF and 4.8 g of propylene oxide, and the reaction was allowed to proceed at 76°C in a nitrogen atmosphere. Then, 72.6 g of propylene oxide was added to the reaction system. The unreacted monomer and solvent were recovered, and the residue was purified to give 75 g of an oil.

Upon GPC analysis, the product showed a single peak, and its hydroxyl value was 11.8 mg KOH/g. According to the procedure of Production Example 1, said product was reacted with NaOMe and then with allyl chloride to synthesize terminally allyl-etherified polyoxypropylene.

(Production Example 10)

A one-liter pressure glass autoclave was equipped with a stirring blade, a three-way cock and a vacuum line and, while evacuating through the vacuum line, the polymerization vessel was dried by heating at 100°C for 1 hour. After cooling to room temperature, the three-way cock was opened to introduce

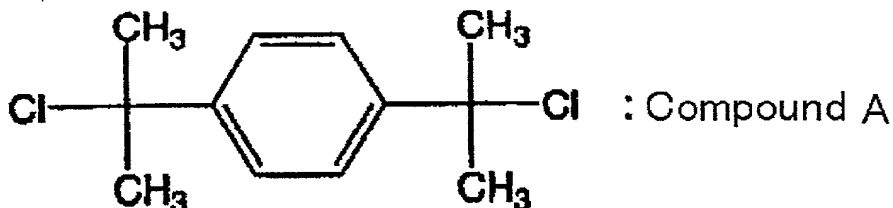
nitrogen into the vessel to ordinary pressure.

Then, while feeding nitrogen through one route of the three-way cock, a mixed solvent (155 mL of methylene chloride and 348 mL of n-hexane) dried by molecular sieve treatment was introduced into the autoclave using a syringe. Then, a solution of 7.5 mmol of DCC (dicumyl chloride; compound A illustrated below) in 10 mL of methylene chloride was added, followed by addition of 3.0 mmol of the additive α -picoline.

Then, a pressure glass liquefied gas sampling tube equipped with a needle valve and containing 112.8 g of isobutylene dehydrated by passing through a column packed with barium oxide was connected with the three-way cock. The polymerization vessel proper was immersed in a dry ice-acetone bath at -70°C and cooled for 1 hour while stirring the vessel inside. After this cooling, the inside pressure was reduced through the vacuum line and, then, the needle valve was opened to introduce isobutylene from the pressure glass liquefied gas sampling tube into the polymerization vessel. Thereafter, ordinary pressure was restored by feeding nitrogen through one route of the three-way cock, the cooling was further continued for 1 hour with stirring, and the polymerization vessel inside temperature was raised to -70°C .

Then, polymerization was initiated by adding 4.1 mL (37.5 mmol) of TiCl_4 through the three-way cock using a syringe to initiate the polymerization and, after the lapse of 1 hour, 27.7 mL (150 mmol) of 1,9-decadiene was added. After further 8 hours of reaction, the reaction mixture was poured into water to thereby deactivate the catalyst. Then, the organic layer was washed with three portions of pure water. After phase separation, the methylene chloride, n-hexane and 1,9-decadiene were distilled off under reduced pressure to give an allyl-terminated isobutylene polymer.

The structure of compound A is as shown below.



(Production Example 11)

A 3-liter pressure glass autoclave was equipped with a stirring blade, a three-way cock and a vacuum line and, while
 5 evacuating through the vacuum line, the polymerization vessel was dried by heating at 100°C for 1 hour. After cooling to room temperature, the three-way cock was opened to introduce nitrogen into the vessel to ordinary pressure.

Then, while feeding nitrogen through one route of the
 10 three-way cock, a mixed solvent (618 mL of methylene chloride and 1,001 mL of n-hexane) dried by molecular sieve treatment was introduced into the autoclave using a syringe. Then, a solution of 15 mmol of DCC (dicumyl chloride) in 50 mL of methylene chloride was added, followed by addition of 6.0 mmol
 15 of the additive α -picoline.

Then, a pressure glass liquefied gas sampling tube equipped with a needle valve and containing 224 g of isobutylene dehydrated by passing through a column packed with barium oxide was connected with the three-way cock. The polymerization
 20 vessel proper was immersed in a dry ice-acetone bath at -70°C and cooled for 1 hour while stirring the vessel inside. After this cooling, the inside pressure was reduced through the vacuum line and, then, the needle valve was opened to introduce isobutylene from the pressure glass liquefied gas sampling tube
 25 into the polymerization vessel. Thereafter, ordinary pressure was restored by feeding nitrogen through one route of the three-way cock, the cooling was further continued for 1 hour with stirring, and the polymerization vessel inside temperature was raised to -70°C.

Then, polymerization was initiated by adding 8.2 mL (75 mmol) of TiCl_4 through the three-way cock using a syringe and, after the lapse of 1 hour, 14.3 mL (90 mmol) of allyltrimethylsilane was added. After further 1 hour of reaction, the reaction mixture was poured into methanol to thereby terminate the reaction. The reaction mixture was stirred for a while and then allowed to stand to cause precipitation of the polymer.

The thus-obtained polymer was again dissolved in n-hexane, the solution was washed with three portions of pure water and the solvent was then distilled off to give an allyl-terminated isobutylene-based polymer.

For the polymers obtained in Production Examples 10 and 11, the percent yields were calculated based on the yields, the M_n and M_w/M_n values were determined by the GPC method, and the terminal structures were established by measuring, by the $^1\text{H-NMR}$ method, and comparing the intensities of the resonance signals of protons ascribable to the respective structures (initiator-derived proton: 6.5 to 7.5 ppm and polymer terminal vinyl proton: 4.5 to 5.9 ppm). The results are shown in Table 1.

Table 1

Production Example	Yield (%)	GPC		NMR FN^*
		M_n	M_w/M_n	
10	96	18700	1.45	1.78
11	100	9100	1.29	1.96

FN^* : number of vinyl groups per molecule.

INDUSTRIAL APPLICABILITY

By adding, according to the present invention, various alkenyl-containing polymers to a polymerization system for living radical polymerization or living cationic polymerization, it is possible to obtain, with ease, block copolymers resulting from binding of these various polymers to one another. When a polymer having an initiator group for

5

CLAIMS

1. A block copolymer produced by adding an alkenyl-
containing polymer (I) to a living radical polymerization
5 system or a living cationic polymerization system.

2. The polymer according to Claim 1, wherein the alkenyl
group in said polymer (I) is represented by the general formula
1:

10
$$\text{H}_2\text{C}=\text{C}(\text{R}^1)- \quad (1)$$

(wherein R^1 is a hydrogen atom or a hydrocarbon group containing
1 to 20 carbon atoms).

15 3. The polymer according to Claim 2, wherein, in the
general formula 1, R^1 is a hydrogen atom.

20 4. The polymer according to any of Claims 1 to 3, wherein
the alkenyl group in the polymer (I) is not activated by any
of a carbonyl group, an alkenyl group and an aromatic ring each
conjugated with the carbon-carbon double bond thereof.

25 5. The polymer according to any of Claims 1 to 4, wherein
the alkenyl group in said polymer (I) is located at a terminus
of the polymer (I).

6. The polymer according to any of Claims 1 to 5, wherein
the polymerization system to which the polymer (I) is to be added
is a living radical polymerization system.

30 7. The polymer according to Claim 6, wherein the living
radical polymerization system is an atom transfer radical
polymerization system.

35 8. The polymer according to Claim 7, wherein the polymer
(I) has a group capable of serving as an initiator group for

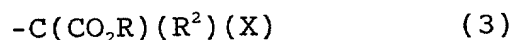
atom transfer radical polymerization, and the product block copolymer is a multiblock copolymer.

9. The polymer according to Claim 8, wherein the group
5 in polymer (I) which is capable of serving as an initiator group for atom transfer radical polymerization is represented by the general formula 2:



(wherein Ar is an aryl group, which may optionally have a
10 substituent, R^2 is a hydrogen atom or a hydrocarbon group containing 1 to 20 carbon atoms and X is chlorine, bromine or iodine).

10. The polymer according to Claim 8, wherein the group
15 in polymer (I) which is capable of serving as an initiator group for atom transfer radical polymerization is represented by the general formula 3:



(wherein R^2 is a hydrogen atom or a methyl group, R is an organic
20 group containing 1 to 20 carbon atoms and X is chlorine, bromine or iodine).

11. The polymer according to Claim 9 or 10, wherein, in
the general formulas 2 and 3, R^2 is a hydrogen atom.

12. The polymer according to any of Claims 7 to 11,
wherein the metal complex to serve as a catalyst for atom
transfer radical polymerization is a copper, nickel, ruthenium
or iron complex.

13. The polymer according to Claim 12, wherein the metal
complex to serve as a catalyst for atom transfer radical
polymerization is a copper complex.

14. The polymer according to any of Claims 6 to 13,

wherein the monomer to be polymerized in the living radical polymerization system is a (meth)acrylic monomer.

15. The polymer according to any of Claims 1 to 5, wherein
5 the polymerization system to which the polymer (I) is to be added is a living cationic polymerization system.

16. The polymer according to Claim 15, wherein the
polymer (I) has a group capable of serving as an initiator group
10 for living cationic polymerization and the product block copolymer is a multiblock copolymer:

17. The polymer according to Claim 16, wherein the group
in polymer (I) which is capable of serving as an initiator group
15 for living cationic polymerization is represented by the general formula 2:



(wherein Ar is an aryl group, which may optionally have a
substituent, R^2 is a hydrogen atom or a hydrocarbon group
20 containing 1 to 20 carbon atoms and X is chlorine, bromine or iodine).

18. The polymer according to any of Claims 1 to 17, wherein
the polymer (I) is produced by controlled radical
25 polymerization.

19. The polymer according to Claim 18, wherein the
polymer (I) comprises a vinyl polymer produced by atom transfer
radical polymerization.

30

20. The polymer according to Claim 19, wherein the
polymer (I) is produced by atom transfer radical polymerization
using an alkenyl-containing initiator.

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21. The multiblock copolymer according to Claim 20,

wherein the polymer (I) is produced by using an allyl halide as an initiator.

22. The polymer according to any of Claims 1 to 17,
5 wherein the polymer (I) is produced by living cationic polymerization.

23. The polymer according to Claim 22, wherein the
polymer (I) produced by living cationic polymerization is
10 selected from the group consisting of styrenic polymers,
isobutylene polymers, polyether polymers and vinyl ether
polymers.

24. The polymer according to any of Claims 1 to 17,
15 wherein the polymer (I) is a vinyl polymer.

25. The polymer according to any of Claims 1 to 17,
wherein the polymer (I) is a polyolefin polymer.

26. The polymer according to any of Claims 1 to 17,
20 wherein the polymer (I) is a hydrocarbon polymer.

27. The polymer according to any of Claims 1 to 17,
wherein the polymer (I) is a polyester polymer.
25

28. The polymer according to any of Claims 1 to 17,
wherein the polymer (I) is a polyether polymer.

29. The polymer according to any of Claims 1 to 17, wherein
30 the polymer (I) is a polysiloxane polymer.

30. The polymer according to any of Claims 1 to 29,
wherein the polymer (I) has a glass transition point not lower
than 25°C and the polymer chain newly produced by atom transfer
35 radical polymerization with the addition of polymer (I) has a

glass transition point not higher than 25°C, or the polymer (I) has a glass transition point not higher than 25°C and the polymer chain newly produced by atom transfer radical polymerization with the addition of polymer (I) has a glass transition point not lower than 25°C.

31. A thermoplastic elastomer which comprises, as the main component thereof, the polymer according to any of Claims 1 to 30.

32. An impact resistance improving agent which comprises, as the main component thereof, the polymer according to any of Claims 1 to 30.

This invention has its objects to provide a block copolymer composed of any of various polymers and a living radical polymerization polymer or living cationic polymerization polymer and capable of being produced with ease without requiring optimization of polymerization conditions which is difficult to achieve.

The present invention thus provides a block copolymer
10 produced by adding an alkenyl-containing polymer (I) to a living
radical polymerization system or living cationic
polymerization system.

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address*and citizenship are as stated below next to my name.

I declare I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

BLOCK COPOLYMER

the specification of which: (check one)

[] is attached hereto. [XX] was filed on April 28, 1999, as United States Patent Application Serial No. or PCT International Application Number PCT/IP99/02273, and was amended on 19 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR § 1.56(a).

Prior Foreign Application(s): I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>10/119291</u>	<u>Japan</u>	<u>28/04/98</u>	[xx] []
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>10/147809</u>	<u>Japan</u>	<u>28/05/98</u>	[xx] []
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>10/151571</u>	<u>Japan</u>	<u>01/06/98</u>	[xx] []
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>10/207328</u>	<u>Japan</u>	<u>23/07/98</u>	[xx] []
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>10/207329</u>	<u>Japan</u>	<u>23/07/98</u>	[xx] []
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>10/234428</u>	<u>Japan</u>	<u>20/08/98</u>	[xx] []
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No
<u>10/306233</u>	<u>Japan</u>	<u>28/10/98</u>	[xx] []
(Application No.)	(Country)	(Day/Month/Year Filed)	Yes No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by 35 U.S.C. § 112, first paragraph, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(U.S. Application Serial No)

(U.S. Filing Date)

(Status--patented, pending, abandoned)

I hereby appoint George Vande Sande, Registration No. 17,276; Burton A. Amernick, Registration No. 24,852, Richard Wiener, Registration No. 18,741; Townsend M. Belser, Jr., Registration No. 22,956; Morris Liss, Registration No. 24,510; Martin Abramson, Registration No. 25,787; George R. Pettit, Registration No. 27,369; Elzbieta Chlopecka, Registration No. 32,767; Eric J. Franklin, Registration No. 37,134; Jeffn A. Kaminski, Reg. No. 42,709; and William E. Curry, Registration No. 43,572, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon

Full name of sole or first inventor. Yoshiki Nakagawa

Inventor's Signature Yoshiko Nakagawa Date JAN. 27. 2000
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DECLARATION FOR PATENT APPLICATION

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Full name of sixth joint inventor (if any): _____

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Full name of seventh joint inventor (if any): _____

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